









# Dhangadhi Sub Metropolitan City Office of Municipal Executive Dhangadhi, Kailali Sudur Paschim Province, Nepal

Invitation of
Technical and Financial Proposal
For
Selection of Consulting Services
For
Detailed Engineering Survey, Detailed Design
and Drawing, Report Preparation (DPR)

of Various Roads of Dhangadhi Sub Metropolitan City

> FY: 2081/082 August, 2024





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#### Section 1. Letter of Invitation.

Date: 2081/05/07

Dear Sir/Madam,

- 1. Dhangadi Sub Metropolitan City, Office of the Municipal Executive has allocated fund for "Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City" toward the cost of and intends to apply a portion of this fund to eligible payments under this Contract.
- 2. Dhangadi Sub Metropolitan City, Office of the Municipal Executive now invites proposals to provide the following consulting services: Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City. More details on the services are provided in the attached Terms of Reference.
- 3. It is not permissible to transfer this invitation to any other firm, such as Consultant's parent companies, subsidiaries and affiliates. The Client will reject a Proposal if the Consultant drops a JV partner without the Client's prior consent, which is given only in exceptional circumstances, such as blacklisting of the JV partner or occurrence of Force Majeure.
- 4. A consultant will be selected under QCBS and procedures described in this RFP.
- 5. The RFP includes the following documents:
  - Section 1 Letter of Invitation
  - Section 2 Information to Consultants
  - Section 3 Technical Proposal Standard Forms
  - Section 4 Financial Proposal Standard Forms
  - Section 5 Terms of Reference
  - Section 6 Standard Forms of Contract
- 6. Please find out the Hard Copy/Soft Copy of RFP from the Office with the Written Letter of Request along with Company/Firms Legal Documents or any interested firm may download RFP from dhangadhimun.gov.np
- 7. Details on the proposal's submission date, time and address are provided in Clauses 17.8 of the ITC.

**Divisional Engineer** 





# **Special Instructions to Consultant**

(Read carefully)

Only the work completed during last 7 years will be considered while evaluating the firms' experiences.

Technical Proposal shall be evaluated on the basis of information duly provided by the Consultant. Information must be supported by relevant evidences such as Certificates, official letters, bills, vouchers and necessary commitments wherever applicable. The Curriculum vitae must be supported with relevant academic certificates otherwise marks will not be granted.

The consultant should duly sign and stamped in all the documents.

Consultant should submit recently signed CVs of proposed professional personnel in all pages.

Along with the CV, the copies of certificates of degrees, training certificates attained must be submitted as justification documents. For engineers and architects the Nepal engineering council registration certificate should also be submitted.







### Section 2. Instructions to Consultants and Data Sheet

#### A. General Provisions

#### 1. Definitions (a). "A

- (a). "Affiliate(s)" means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.
- (b). "Applicable Guidelines" means the policies of the Development Partner (DP) governing the selection and Contract award process, in case of DP funded project. "Applicable Law" means the laws and any other instruments having the force of law in Nepal as they may be issued and in force from time to time.
- (c). "Borrower [or Recipient or Beneficiary]" means the Government, Government agency or other entity that signs the financing [or loan/credit/grant/project] agreement with the Development Partner.
- (d). "Client" means the *[procuring entity/implementing/ executing agency]* that signs the Contract for the Services with the selected Consultant.
- (e). "Consultant" means a legally-established professional consulting firm or an entity that may provide or provides the Services to the Client under the Contract.
- (f). "Contract" means a legally binding written agreement signed between the Client and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).
- (g). "Data Sheet" means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific assignment conditions to supplement, but not to over-write, the provisions of the ITC.
- (h). "Day" means a calendar day.
- (i). "Development Partner (DP)" means the country/institution funding the project as specified in the Data Sheet.
- (j). "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).
- (k). "Government" means the government of the Nepal.
- (I). "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (m). "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is taken into account in the technical evaluation of the Consultant's proposal.
- (n). "ITC" (this Section 2 of the RFP) means the Instructions to Consultants that provides the shortlisted Consultants with all





	information needed to prepare their Proposals.
	(o). "LOI" (Section 1 of the RFP) means the Letter of Invitation being
	sent by the Client to the shortlisted Consultants.
	(p). "Non-Key Expert(s)" means an individual professional provided by
	the Consultant or its Sub-consultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs
	are not evaluated individually.
	(q). "Proposal" means the Technical Proposal and the Financial Proposal
	of the Consultant.
	(r). "RFP" means the Request for Proposals prepared by the Client for
	the selection of Consultants, based on the SRFP.
	(s). "SRFP" means the Standard Request for Proposals issued by PPMO,
	which must be used by the Public Entity as the basis for the
	preparation of the RFP.  (t). "Services" means the work to be performed by the Consultant
	pursuant to the Contract.
	(u). "Sub-consultant" means an entity to whom the Consultant intends to
	subcontract any part of the Services while remaining responsible to
	the Client during the performance of the Contract.
	(v). "TORs" (this Section 7 of the RFP) means the Terms of Reference
	that explain the objectives, scope of work, activities, and tasks to be
	performed, respective responsibilities of the Client and the Consultant, and expected results and deliverables of the assignment.
2. Introduction	2.1The Client named in the <b>Data Sheet</b> intends to select a Consultant
Z. Introduction	from those listed in the Letter of Invitation, in accordance with the method
	of selection specified in the Data Sheet.
	2.2 The shortlisted Consultants are invited to submit a Technical
	Proposal and a Financial Proposal, or a Technical Proposal only, as
	specified in the <b>Data Sheet</b> , for consulting services required for the assignment named in the <b>Data Sheet</b> . The Proposal will be the basis for
	negotiating and ultimately signing the Contract with the selected
	Consultant.
	2.3 The Consultants should familiarize themselves with the local
	conditions and take them into account in preparing their Proposals,
	including attending a pre-proposal conference if one is specified in the
	<b>Data Sheet</b> . Attending any such pre-proposal conference is optional and is at the Consultants' expense.
	2.4 The Client will timely provide, at no cost to the Consultants, the
	inputs, relevant project data, and reports required for the preparation of
	the Consultant's Proposal as specified in the <b>Data Sheet</b> .
3. Conflict of	3.1 The Consultant is required to provide professional, objective, and
Interest	impartial advice, at all times holding the Client's interests paramount,
	strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.
1	·
	The Consultant has an obligation to disclose to the Client any situation of
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		blacklisting by the Public Procurement Monitoring Office/DP.  Without limitation on the generality of the foregoing, and unless stated
		otherwise in the <b>Data Sheet</b> , the Consultant shall not be hired under the
		circumstances set forth below:
a.	Conflicting activities	(i) Conflict between consulting activities and procurement of goods, works or non-consulting services: a firm that has been engaged by the Client to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to
		provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.
b.	Conflicting	(ii) Conflict among consulting assignments: a Consultant (including its
	assignments	Experts and Sub-consultants) or any of its Affiliates shall not be hired for
		any assignment that, by its nature, may be in conflict with another
	0	assignment of the Consultant for the same or for another Client.
C.	Conflicting relationships	(iii) Relationship with the Client's staff: a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff ofthe Client or are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract.
4.	Unfair Competitive Advantage	4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Client shall indicate in the <b>Data Sheet</b> and make available to all shortlisted Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.
5.	Corrupt and Fraudulent Practices	5.1 The GoN/DPrequire compliance with its policy in regard to corrupt and fraudulent/prohibited practices as set forth in Section 6.
		5.2 In further pursuance of this policy, Consultant shall permit and shall cause its sub-consultants and sub-contractors to permit GoN/DP or its representatives to inspect the accounts, records and other documents relating to the submission of the Proposal and execution of the contract, in case of award, and to have the accounts and records audited by auditors appointed by the GoN/DP.
		5.3Consultants shall be aware of the provisions on fraud and corruption stated in Clause GCC 10.1.
6.	Eligibility	6.1 The GoN/DP permits consultants (individuals and firms, including Joint Ventures and their individual members) from the eligible countries as





	stated in Section 5 to offer consulting services for GoN/DP-financed projects. 6.2 Furthermore, it is the Consultant's responsibility to ensure that its Experts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by the GoN/DP.
	6.3 As an exception to the foregoing Clauses 6.1 and 6.2 above:
a. Sanctions	6.3.1 In case of a natural person or firm/institution/company which is already declared blacklisted and ineligible by the GoN, any other new or existing firm/institution/company owned partially or fully by such Natural person or Owner or Board of director of blacklisted firm/institution/company; shall not be eligible consultant. The list of debarred firms and individuals is available at the electronic address specified in the <b>Data Sheet</b> .
b. Prohibitions	6.3.2 Firms and individuals shall have the nationality of an eligible countries as indicated in Section 5 (Eligible Countries) and:  (a) as a matter of law or official regulations, Nepal prohibits commercial relations with that country; or  (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
c. Restrictions for public employees	6.3.3Government officials and civil servants may only be hired under consulting contracts, either as individuals or as members of a team of a consulting firm, if permitted under GoN/DP policy, and their employment would not create a conflict of interest).
	B. Preparation of Proposals
7. General Considerations	7.1In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
8. Cost of Preparation of Proposal	8.1The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Client is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.
9. Language	9.1The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and the Client, shall be written in the English language.
10. Documents Comprising the Proposal	<ul> <li>10.1 The Proposal shall comprise the documents and forms listed in the Data Sheet.</li> <li>10.2 The Consultant shall furnish information on commissions, gratuities and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the</li> </ul>







	Financial Proposal submission form (Section 4).
11.Only One Proposal	11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude a Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the <b>Data Sheet</b> .
12. Proposal Validity	12.1The <b>Data Sheet</b> indicates the period during which the Consultant's Proposal must remain valid after the Proposal submission deadline. 12.2During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price. 12.3If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation, and may be subject to blacklisting in accordance with Clause 5 of this ITC.
a. Extension of Validity Period	12.4 The Client will make its best effort to complete the negotiations within the proposal's validity period. However, should the need arise, the Client may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.  12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts. The Consultant shall not include any additional conditions against the provisions specified in RFP, while extending the validity of its Proposal.  12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated.
b. Substitution of Key Experts at Validity Extension	12.7If any of the Key Experts become unavailable for the extended validity period, the Consultant shall provide a written adequate justification and evidence satisfactory to the Client together with the substitution request. In such case, a replacement Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluation score, however, will remain to be based on the evaluation of the CV of the original Key Expert.  12.8 If the Consultant fails to provide a replacement Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to the Client, such Proposal will be rejected.
c. Sub-Contracting	12.9The Consultant shall not subcontract the whole of the Services unless otherwise indicated in the <b>Data Sheet</b> .
13. Clarification and Amendment of RFP	13.1The Consultant may request a clarification of any part of the RFP during the period indicated in the <b>Data Sheet</b> before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Client's address indicated in the <b>Data Sheet</b> . The Client will respond in writing, or by standard electronic means,







	and will send written copies of the response (including an explanation of the query but without identifying its source) to all shortlisted Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:  13.1.1 At any time before the proposal submission deadline, the Client may amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all shortlisted Consultants and will be binding on them. The shortlisted Consultants shall acknowledge receipt of all amendments in writing.  13.1.2 If the amendment is substantial, the Client may extend the proposal submission deadline to give the shortlisted Consultants reasonable time to take an amendment into account in their Proposals.  13.1.3 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.
14.Preparation of Proposals – Specific	14.1 While preparing the Proposal, the Consultant must give particular attention to the following:
Considerations	14.1.1 If a shortlisted Consultant considers that it may enhance its expertise for the assignment by associating with other consultants in the form of a Joint Venture or as Sub-consultants, it may do so with either (a) non-shortlisted Consultant(s), or (b) shortlisted Consultants if permitted in the <b>Data Sheet</b> . In all such cases a shortlisted Consultant must obtain the written approval of the Client prior to the submission of the Proposal. When associating with non-shortlisted firms in the form of a joint venture or a subconsultancy, the shortlisted Consultant shall be a lead member.
	14.1.2 The Client may indicate in the <b>Data Sheet</b> the estimated Key Experts' time input (expressed in person-month) or the Client's estimated total cost of the assignment. This estimate is indicative and the Proposal shall be based on the Consultant's own estimates for the same.
	14.1.3 If stated in the <b>Data Sheet</b> , the Consultant shall include in its Proposal at least the same time input (in the same unit as indicated in the <b>Data Sheet</b> ) of Key Experts, failing which the Financial Proposal will be adjusted for the purpose of comparison of proposals and decision for award in accordance with the procedure in the <b>Data Sheet</b> .
	14.1.4 For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input is not disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the <b>Data Sheet</b> , and the Financial Proposal shall not exceed this budget.
15.Technical Proposal Format and Content	15.1 The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be declared non-responsive.







	15.2Only one curriculum vitae (CV) may be submitted for each key expert. If
	a technical proposal nominates more than one expert for a position, the Client will evaluate all CVs and apply the lowest score for the position.
16. Financial Proposal	16.1The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) other expenses, (c) provisional sums when applicable indicated in the <b>Data Sheet</b> .
a. Price Adjustment	16.2 For assignments with a duration exceeding 12 months, a price adjustment provision for foreign and/or local inflation for remuneration rates applies if so stated in the <b>Data Sheet</b> .
b. Taxes	16.3The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract. Information on taxes in the Client's country is provided in the <b>Data Sheet</b> .
c. Currency of Proposal	16.4The Consultant may express the price for its Services in the currency or currencies as stated in the <b>Data Sheet</b> . If indicated in the <b>Data Sheet</b> , the portion of the price representing local cost shall be stated in the Nepalese Rupees.
d. Currency of Payment	16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.
	C. Submission, Opening and Evaluation
17. Submission, Sealing, and Marking of Proposals	17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with Clause 10 (Documents Comprising Proposal). The submission can be done by mail or by hand. If specified in the <b>Data Sheet</b> , the Consultant has the option of submitting its Proposals electronically.
	17.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for both the Technical Proposal and, if applicable, the Financial Proposals and shall initial all pages of both. The authorization shall be in the form of a written power of attorney attached to the Technical Proposal.
	17.3 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.
	17.4 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.
	17.5 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in the <b>Data Sheet</b> . All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.
	17.6 The original and all the copies of the Technical Proposal shall be placed inside of a sealed envelope clearly marked "TECHNICAL PROPOSAL", "[Name of the Assignment]", reference number, name and





	address of the Consultant, and with a warning "Do NOT OPEN UNTIL [AS SPECIFIED IN DATA SHEET]."
	<ul> <li>17.7 Similarly, the original Financial Proposal (if required for the applicable selection method) shall be placed inside of a sealed envelope clearly marked "FINANCIAL PROPOSAL" followed by the name of the assignment, reference number, name and address of the Consultant, and with a warning "Do Not Open With The Technical Proposal."</li> <li>17.8 The sealed envelopes containing the Technical and Financial Proposals shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, RFP reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "Do Not Open Before[insert the time and date of the submission deadline indicated in the Data Sheet]".</li> </ul>
	17.9 If the envelopes and packages with the Proposal are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the Proposal. For QCBS, FBS and LCS, if the Technical and Financial Proposals are not submitted in separate sealed envelopes as required, the Client shall reject the Proposal.
	17.10 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by the Client no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by the Client after the deadline shall be declared late and rejected, and promptly returned unopened.
18. Confidentiality	18.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Client on any matter related to its Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the letter of intent to accept the proposal has been issued to the selected Consultant.
	18.2 Any attempt by shortlisted Consultants or anyone on behalf of the Consultant to influence improperly the Client in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal, and may be subject to the application of prevailing PPMO's blacklisting procedures.
	18.3 Notwithstanding the above provisions, from the time of the Proposals' opening to the time of issuance of notification for opening of financial proposal or the Letter of Intent, if a Consultant wishes to contact the Client on any matter related to the selection process, it should do so only in writing.
19. Opening of Technical Proposals	19.1 The Client's evaluation committee shall conduct the opening of the Technical Proposals in the presence of the shortlisted Consultants' authorized representatives who choose to attend. The opening date, time and the address are stated in the <b>Data Sheet</b> . The envelopes with the Financial Proposal shall remain sealed and shall be securely







	stored until they are opened in accordance with Clause 23 of the ITC.
	19.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the <b>Data Sheet</b> .
20. Proposals Evaluation	20.1 Subject to provision of Clause 15.1 of the ITC, the evaluators of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and the DP issues its "no objection", if applicable.
	20.2 The Consultant is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 12.7 of this ITC. While evaluating the Proposals, the Client will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.
21.Evaluation of Technical Proposals	<ul> <li>21.1 The Client's evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and the RFP, applying the evaluation criteria, sub-criteria, and point system specified in the Data Sheet. Each responsive Proposal will be given a technical score. The evaluation committee shall compute the score obtained by each proposal by taking the average of the scores given by each member of the evaluation committee to the proposal. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if it fails to achieve the minimum technical score indicated in the Data Sheet.</li> <li>21.2 Proposed experts, involved in the firms' work in hand will not be considered for evaluation to the extent of this involvement in the ongoing assignment.</li> <li>21.3 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</li> </ul>
22. Financial Proposals for QBS	<ul> <li>Following the ranking of the Technical Proposals, when the selection is based on quality only (QBS), the top-ranked Consultant is invited to negotiate the Contract.</li> <li>If Financial Proposals were invited together with the Technical Proposals, only the Financial Proposal of the technically top-ranked Consultant is opened by the Client's evaluation committee. All other Financial Proposals are returned unopened after the Contract negotiations are successfully concluded and the Contract is signed.</li> </ul>
23. Public Opening of Financial Proposals (for	23.1 After the technical evaluation is completed and the DP has issued its no objection (if applicable), the Client shall notify those Consultants whose Proposals were considered non-responsive to the RFP and





QCBS, FBS, and LCS methods)	TOR or did not meet the minimum qualifying technical score (and shall provide information relating to the Consultant's overall technical score) that their Financial Proposals will be returned unopened after completing the selection process and Contract signing. The Client shall simultaneously notify in writing those Consultants that have achieved the minimum overall technical score and inform them of the date, time and location for the opening of the Financial Proposals. The opening date should beat least 7 days for national shortlisting and 15 days for international shortlisting for attending the opening. The Consultant's attendance at the opening of the Financial Proposals is optional and is at the Consultant's choice.  23.2 The Financial Proposals shall be opened by the Client's evaluation committee in the presence of the representatives of those Consultants
	whose proposals have passed the minimum technical score. At the opening, the names of the Consultants, and the overall technical scores, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened.
	These Financial Proposals shall be then opened, and the following information will be recorded:  (a) Name and address,  (b) Proposed service charge,  (c) Discount offered if any
	<ul> <li>(c) Discount offered, if any;</li> <li>(d) Description of the discrepancies, if any, between figure and words,</li> <li>(e)Whether the financial proposal is signed or not by authorized representative of consultant,</li> <li>(f) If any metter or content of the financial proposal is offered whether</li> </ul>
	(f) If any matter or content of the financial proposal is effaced whether such efface is signed by the consultant or his/her representative or not and the details of the amount and the content effaced,
	(g) Other necessary matters considered appropriate by the Public Entity 23.3 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.
24. Correction of Errors	24.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.
a. Time-Based Contracts	24.1.1 If a Time-Based contract form is included in the RFP, the Client's evaluation committee will (a) correct any computational or arithmetical errors, (b) adjust the discount offered, if any, and (b) adjust the prices if they fail to reflect all inputs included for the respective activities or items in the Technical Proposal. In case of discrepancy between (i) a partial amount (sub-total) and the total amount, or (ii) between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between words and figures, the former will prevail. In case of discrepancy between







	the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and the Client's evaluation committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.
b. Lump-Sum Contracts	24.2 If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical corrections nor price adjustments shall be made. The total price, net of taxes understood as per Clause ITC 25 below, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price.
25. Taxes	<ul> <li>25.1 Except as set out in Sub-clause 25.2, all taxes are deemed included in the Consultant's Financial proposal, and, therefore, included in the evaluation.</li> <li>25.2 Except for VAT, all taxes levied and imposed on the contract invoices and any tax liabilities arising from the Contract under the laws of Nepal are deemed included in the Consultant's Financial Proposal and, hence, included in the evaluation. Information on the Consultant's tax obligations in Nepal can be found as indicated in Clause 16.3 of the Data Sheet.</li> </ul>
26. Conversion to Single Currency	26.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the <b>Data Sheet</b> .
27. Combined Quality and Cost Evaluation	
a. Quality- and Cost-Based Selection (QCBS)	27.1 In the case of QCBS, the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the <b>Data Sheet</b> . The Consultant achieving the highest combined technical and financial score will be invited for negotiations.
b. Fixed-Budget Selection (FBS)	27.2 In the case of FBS, those Proposals that exceed the budget indicated in Clause 14.1.4 of the Data Sheet shall be rejected.
	27.3 The Client will select the Consultant that submitted the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, and invite such Consultant to negotiate the Contract.
c. Least-Cost Selection (LCS)	27.4 In the case of Least-Cost Selection (LCS), the Client will select the Consultant with the lowest evaluated total price among those consultants that achieved the minimum technical score, and invite such Consultant to negotiate the Contract.
	D. Negotiations and Award
28. Negotiations	<ul><li>28.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.</li><li>28.2 The Client shall prepare minutes of negotiations that are signed by the</li></ul>





	Client and the Consultant's authorized representative.
	28.3 The date, time and address for the negotiations will be advised in writing by the client. The notification period shall be at least 15 days for international selection and 7 days for national selection.
a. Availability of Key Experts	<ul> <li>28.3 The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with Clause 12 of the ITC. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and the Client proceeding to negotiate the Contract with the next-ranked Consultant.</li> <li>28.4 Notwithstanding the above, the substitution of Key Experts at the</li> </ul>
	negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.
b. Technical negotiations	28.5 The negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, the Client's inputs, the special conditions of the Contract, and finalizing the "Description of Services" part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.
c. Financial negotiations	<ul><li>28.6 In the case of a Time-Based contract, where cost is a factor in the evaluation, unit rates negotiations for remuneration shall not take place. However, there may be negotiation on reimbursable expenses.</li><li>28.7 If the selection method included cost as a factor in the evaluation, the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.</li></ul>
	28.8 The format for (i) providing information on remuneration rates in the case of Quality Based Selection is provided in Appendix A to the Financial Form FIN-3:Financial Negotiations — Breakdown of Remuneration Rates.
29. Conclusion of Negotiations	29.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Client and the Consultant's authorized representative.
	29.2 If the negotiations fail, the Client shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, the Client shall terminate the negotiations informing the Consultant of the reasons for doing so. The Client will invite the next-ranked Consultant to negotiate a Contract. Once the Client commences negotiations with the next-ranked Consultant, the Client shall not reopen the earlier negotiations.
30. Award of	30.1 Pursuant to Clause 29.1 of this ITC, the consultant, with whom







	DMPIO
Contract	agreement is reached following negotiation, shall be selected for approval of his proposal and the Client shall notify its' intention to accept the proposal to the selected consultant and other short-listed consultants within 7 days of selection of the winning proposal.  30.2 If the review application is not received by the Client pursuant to Clause 31.2 of this ITC then the proposal of the Consultant, selected as per Clause 30.1 of this ITC shall be accepted and the successful consultant shall be notified to come for signing the Agreement within 15 days.  30.3 If the Consultant fails to sign an agreement pursuant to Clause 30.2 of this ITC then the Client will invite the consultant whose proposal received the next highest score to negotiate a contract.  30.4 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.  30.5 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.
31. Request for Information/ Complaints	31.A consultant, who has been informed that its technical proposal has been considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, may request the Client to provide the technical score obtained by him and the reason for not being able to qualify. The Client shall provide the information within 5 days of receiving such request. If the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days. The Applicant filing application for review shall have to furnish a cash amount or bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law equivalent to the amount specified in the Data Sheet with the validity period of at least ninety days from the date of filing of application.
	In case of letter of intent after evaluation of financial proposal if the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days. The Applicant filing application for review shall have to furnish a cash amount or bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law equivalent to the 1% of Financial Proposal with the validity period of at least ninety days from the date of filing of application.  31.2 Any consultant, who has submitted a proposal and is not satisfied with the procurement process or Client's decision provided as per Clause 30.1of this ITC and believes that the Client has committed an error or breach of duty which has or will result in loss to him then the consultant may give an application for review of the decision to the





	Client with reference to the error or breach of duty committed by the Client. The review application should be given within 7 days of receipt of information regarding the issue of letter by the Client notifying its intention to accept the winning proposal pursuant to Clause 30.1 of this ITC.  31.3 If a review application is received by the Client pursuant to Clause 31.2 of this ITC then the Client will clarify and respond within 5 days of receiving such application.  31.4 If the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5
	days, then the applicant can file a complaint to the Review Committee within 7 days.
	31.5 If a complaint has been lodged to the client, the client shall put on hold the awarding process for7 day's period provided to lodge a complaint to the review committee.
32. Conduct of Consultants	32.1 The Consultant shall be responsible to fulfill his obligations as per the requirement of the Contract Agreement, RFP documents and Public Procurement Act and Regulations.
	<ul> <li>32.2 The consultant shall not carry out or cause to carry out the following acts with an intention to influence the implementation of the procurement process or the Contract Agreement: <ul> <li>a. give or propose improper inducement directly or indirectly,</li> <li>b. distortion or misrepresentation of facts</li> <li>c. engaging or being involved in corrupt or fraudulent practice</li> <li>d. interference in</li> <li>e. Participation of other prospective bidders.</li> <li>f. coercion or threatening directly or indirectly to impair or harm, any party or the property of the party involved in the procurement proceedings,</li> <li>g. collusive practice among consultants before or after submission of proposals for distribution of works among consultants or fixing artificial/uncompetitive proposal price with an intention to deprive the Client the benefit of open competitive proposal price.</li> <li>h. Contacting the Client with an intention to influence the Client with regards to the proposals or interference of any kind in examination and evaluation of the proposals during the period after opening of proposals up to the notification of award of contract.</li> </ul> </li> </ul>
33. Blacklisting	<ul> <li>Without prejudice to any other rights of the client under this Contract, the Public Procurement Monitoring Office may blacklist a Consultant for his conduct up to three years on the following grounds and seriousness of the act committed by the consultant: <ul> <li>a) if it is proved that the consultant committed acts pursuant to the Clause32.2 of the ITC,</li> <li>b) if the consultant fails to sign an agreement pursuant to Clause 30.2 of the ITC,</li> <li>c) if it is proved later that the consultant has committed substantial defect in implementation of the contract or has not substantially</li> </ul> </li> </ul>





assignment is not of the specified quality as per the contract,

- d) if convicted by a court of law in a criminal offence which disqualifies the firm from participating in the contract.
- e) if it is proved that the contract agreement signed by the Consultant was based on false or misrepresentation of consultant's qualification information,
- f) if the consultant fails to submit the professional liability insurance within the period stipulated in the contract.
- 33.2 A Consultant declared blacklisted and ineligible by the GoN, Public Procurement Monitoring Office (PPMO) and/or DP Development Partner in case of DP funded project, shall be ineligible to participate or to be awarded a contract during the period of time determined by the GoN, PPMO and/or the DP Development Partner.

The list of debarred firms is available at the electronic address specified in the **Data Sheet**.







# E. Data Sheet

	A. General
ITC Clause Reference	
2.1	Name of the Client: Dhangadhi Sub Metropolitan City, Office of Municipal Executive Dhangadhi, Kailali Method of selection: QCBS
2.2	Financial Proposal to be submitted together with Technical Proposal: Yes
	The name of the assignment is: Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City
2.3	A pre-proposal conference will be held: N/A
2.4	The Client will provide the following inputs, project data, reports, etc. to facilitate the preparation of the Proposals: Please refer to Section 7, TOR
6.3.1	A list of debarred firms and individuals is available at the following website: <u>NA</u>
	B. Preparation of Proposals
10.1	The Proposal shall comprise the following:  1st Inner Envelope with the Technical Proposal:  (1) Power of Attorney to sign the Proposal  (2) Proof of Legal Status and Eligibility  (3) TECH-1  (4) TECH-2  (5) TECH-3  (6) TECH-4  (7) TECH-5  (8) TECH-6  (9) TECH-7  AND  2nd Inner Envelope with the Financial Proposal (if applicable):
	(1) FIN-1







16.3	"Information on the Consultant's tax obligations in Nepal can be found at the Inland Revenue Department website: www.ird.gov.np."
16.2	A price adjustment provision applies to remuneration rates: No
16.1	<ol> <li>cost of travel by the most appropriate means of transport and the most direct practicable route;</li> <li>cost of office accommodation, including overheads and back-stop support;</li> <li>communications costs;</li> <li>cost of purchase or rent or freight of any equipment required to be provided by the Consultants;</li> <li>cost of reports production (including printing) and delivering to the Client;</li> </ol>
14.1.2	Estimated input of national Key Experts' time-input: Total: 619.86 MD
14.1.1	N/A
13.1	Clarifications may be requested no later than 7 days prior to the submission deadline.  The address for requesting clarifications is:  Name: Dhangadhi Sub Metropolitan City  Address: Dhangadhi, Kailali  Tel: 091-520733/9848425198  Email: <a href="mailto:.dhangadhimun2013">dhangadhimun2013</a> @ gmail.com
12.9	Sub-contracting is allowed for the proposed assignment  No
12.1	Proposals must remain valid for 90 calendar days after the proposal submission deadline.
11.1	Participation of Sub-consultants, team leader and deputy team leaders in more than one Proposal <b>not</b> permissible
	<ul> <li>(2) FIN-2</li> <li>(3) FIN-3</li> <li>(4) FIN-4</li> <li>Proof of legal status establish Consultant's legal capacity to enter into binding and enforceable contracts and may be supported by:</li> <li>Registration certificate</li> <li>VAT/PAN registration certificate</li> <li>Tax Clearance Certificate for F/Y 2079/80</li> </ul>







16.4	The Financial Proposal shall be stated in the The Financial Proposal should state local co	_	lepalese Rupees	
	C. Submission, Opening ar	nd Evaluation		
17.1	The Consultants shall not have the option of	submitting their Propos	als electronically.	
17.5	The Consultant must submit: (a) Technical Proposal: one (1) original (b) Financial Proposal: one (1) original.	(a) Technical Proposal: one (1) original		
17.8	The Proposals must be received at the address below no later than: Date: 2081/05/21 Time: During Office Hours The Proposal submission address is: Dhangadhi Sub Metropolitan City,Office of Municipal Executive, Dhangadhi, Kailali		ty,Office of	
19.1	An online option of the opening of the Technical Proposals is offered: No The opening shall take place at: Date: 2081/05/23 Time: 10:30 AM The Proposal Opening address is: Dhangadhi Sub Metropolitan City, Office of Municipal Executive, Dhangadhi, Kailali			
19.2	In addition, the following information will be Proposals: Confirmation that invitation to submit proposal w Sealed financial proposal Team composition Work schedule Manning schedule ToR	-	_	
21.1	The evaluation criteria, sub-criteria, and point sy	stem for the evaluation ar	re:	
	Details of E	valuation Criteria		
		cal Evaluation		
	Description of Criteria  A) Specific Experience of Firm	Marks out of 100	-	
	B) Methodology and Work Plan	30	-	
	C) Technology Transfer & Training	5		







D) Qualification of Professional Key Personnel	50
Total	100

A) Specific Experience of Firm		Maximum 15 Marks	
S.N.	Work Experience	As a consultant	
1	Completion of work of "Detailed Engineering Survey, Design, Drawing and Preparation of DPR" (Road Length more than or equal to 5 Km) in last Seven years Considered for Evaluation.		

Note: The Firm/JV shall have to submit the copies of experience certificate as evidence (mandatory).

B) Methodology and Work Plan		Maximum 30 Marks		
SN	Particulars	Evaluation Remarks	Total Marks	
		Not Significant		
	Comments or	General Comments or Suggestion	8.0	
	suggestions on ToR and	Slightly Specific		
	services	Comments or Suggestion		
		Significantly Specific		
<b>&gt;</b>		Comments or Suggestion		
Desk Study	Description and review of any relevant documents like Design standards etc.	Not Significant		
		General Review	2.0	
		Slightly Critical Review		
		Critical review		
	If any of the key personnel has visited and described the site and has attached supporting photographs	No Description	3.0	
		General Description		
		General Description with site photograph and a site visit letter		







1	know-how of the works highlights on dissemination of knowledge and training proposed by the	General  Moderate with standard method of description  Significant description with	5.0
DIA	The idea of sharing	Not Significant	Total Walk
C) Technology Tra SN	ansfer/Training Particulars	Evaluation Remarks	mum 5 Mark Total Marks
description  Hanning schedule as per Work Schedule		Relevant to proposed methodology Not Significant Fairly justify the proposed Work Schedule Moderately Justify the proposed Work Schedule Relevant to proposed Work Schedule Al Marks	2.0
lule	Work schedule with description	Not Significant  Fairly justify the proposed methodology  Moderately Justify the proposed methodology	3.0
Proposed Methodology	feasibility study of new road projects.  Methodology to perform Detailed Engineering Survey, Design, Drawing and Cost Estimate Preparation of Road Works.	flow charts Clear Methodology with flow charts Not Significant General Methodology Clear Methodology without flow charts Clear Methodology with flow charts	8.0
(ogy	from Government agencies as concerned Local Bodies and development offices.  Methodology to perform Socio- economic & Traffic Study, review of	site photograph and a site visit letter  Not Significant General Methodology Clear Methodology without	4.0





D) Avaibility of Required Profe	ssional Key	Personnel	Maximum 50 Marks
Professional Key Personnel	Maximu m Marks	Minimum experience (Year) after Bachlor degree	Education required
Team Leader (Highway/Transport Engineer)-1	15	5	M.Sc. in Highway/Transportation Engineering
Environmentalist/Forestry Specialist/Ecologist-1	8	5	M.Sc. in Environmental Engineering/Forestry or Equivalent
Geologist/Engg. Geologist/Geotechnical Engineer-1	8	5	M.Sc. in Geology/ Geotechnical Engineering
Structural Engineer - 1	8	5	M.Sc. in Structural Engineering
Hydrologist-1	5	5	M.Sc. In Hydrology/Water Resource
Surveyor-2	6		Civil overseer or Intermediate with senior surveyor's training or equivalent.
Total	50	1	

Note 1: CV of each key professionals is mandatory with signature of professional and authorised repersentative of the firm/JV. The scan signature of professional leads to rejection of CV for RFP evaluation.

Note 2: CV must have his/her e-mail address and mobile no.

Note 3 : The firm/JV shall have to submit the NEC registration certificate for professionals as per requirement.

Note 4: The Firm/JV shall also have to submit the copies of certificate as evidence of his/her education (degree) as required above.

Note 5: The above mentioned key professionals represent one set of working team. The no. of working team shall be equal to the no. of working team requirement mentioned in corresponding ToR which is mandatory.

Note 6: Others anything not mentioned here will be according to prevailing Act & Regulation

The minimum technical score (St) required to pass is 60 Points







	Financial Evaluation The Lowest Evaluated Sum of Financial Proposals is given the maximum financial Score
	(Sf) of 100 The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:
	Sf = 100* Fm/F, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" the Price of the Proposal under consideration.
	The Weights given to the Technical (T) and Financial (P) Proposals are:
	T= 0.80 and P= 0.20
	Proposals are ranked according to their combined technical (Sf) and financial (Sf) scores using the weights (T = the weight given to the technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: S = St * T% + Sf *P%
23.1	An online option of the opening of the Financial Proposals is offered: No
23.1 and 23.2	The Client will read aloud only overall technical scores. yes
26.1	The single currency for the conversion of all prices expressed in various currencies into a single one is NRs.  The official source of the selling (exchange) rate is: Nepal Rastra Bank; www.nrb.org.np  The date of the exchange rate is: 28 days prior to the proposal submission deadline
27.1 [a. QCBS	The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.
only]	The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:
	Sf = 100 x Fm/ F, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" is the price of the proposal under consideration.
	The weights given to the Technical (T) and Financial (P) Proposals are:  T = 0.80 P = 0.20
	Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weights as following: $S = St \times T\% + Sf \times P\%$ .





	D. Negotiations and Award
28.1	Expected date and address for contract negotiations: Dhangadhi Sub Metropolitan City
30.4	Expected date for the commencement of the Services: Date: 2081/06/20 Address: Dhangadhi
31.1	The Applicant shall furnish a cash amount or a bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law with an amount of [specify an amount between 0.25% to 0.50% of the estimate].
33.2	A list of blacklisted firms is available at the PPMO's website http://www.ppmo.gov.np





# Section 3. Technical Proposal – Standard Forms

#### FORM TECH-1

#### **TECHNICAL PROPOSAL SUBMISSION FORM**

{Location, Date}

To: [Name and address of Client]

Dear Sirs:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposals dated [Insert Date] and our Proposal. [Select appropriate wording depending on the selection method stated in the RFP: "We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate envelope" or, if only a Technical Proposal is invited "We hereby are submitting our Proposal, which includes this Technical Proposal only in a sealed envelope."].

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal in a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: "of our letter of intent to form a joint venture" or, if a JV is already formed, "of the JV agreement"} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

#### OR

If the Consultant's Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and country of each Sub-consultant.}

#### We hereby declare that:

- (a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Client and/or may be blacklisted by the PPMO.
- (b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 12.1.
- (c) We have no conflict of interest in accordance with ITC 3and we have not been punished for an offense relating to the concerned profession or business.
- (d) We meet the eligibility requirements as stated in ITC 6.





- (e) Neither we, nor our JV/associate partners/ sub-consultants or any of the proposed experts prepared the TOR for this consulting assignment.
- (f) Except as stated in the Data Sheet, Clause 12.1, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC Clause 12 and ITC Clause 28.4 may lead to the termination of Contract negotiations.
- (g) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.
- (h) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, in force in the country of the Client.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 30.4 of the Data Sheet.

We understand that the Client is not bound to accept any Proposal that the Client receives.

We remain,
Yours sincerely,
Authorized Signature {In full and initials}:  Name and Title of Signatory:  Name of Consultant (company's name or JV's name):  In the capacity of:
Address:Contact information (phone and e-mail):

{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}







#### **CONSULTANT'S ORGANIZATION AND EXPERIENCE**

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Subconsultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

# A - Consultant's Organization

1. Provide here a brief description of the background and organization of your company, and – in case of a joint venture – of each member for this assignment.

# **B** - Consultant's Experience

- 1. List only previous <u>similar</u> assignments successfully completed in the last7 (Seven) years.
- 2. List only those assignments for which the Consultant was legally contracted by the Client as a company or was one of the joint venture partners. Assignments completed by the Consultant's individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Consultant should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by the Client.





RFP No.: 01/DSMC/CONSULTING	208T/081

Using the format below, provide information on each assignment for which your Consultant/entity, either individually as a corporate entity or as one of the major companies within an association, was legally contracted.

Assignment Name:		Country:					
Location within Country:		Professional Staff Provided by Your Consultant/Entity(profiles):					
Name of Client:		No. of Staff:					
Address:		No. of Staff-Months; Duration of Assignment:					
Start Date (Month/Year):	Completion Date (Month/Year):	Approx. Value of Services Proposal National level :NRs International Level: (in Current US\$):					
Name of Associated Consultants, If Any:		No. of Months of Professional Staff Provided by Associated Consultants:					
Name of Senior Staff an Functions Performed:	d Designation (Pro	ject Director/Coordinator, Team Leader etc.) Involved and					
Narrative Description of Project :( Actual assignment, nature of activities performed and location)							
Description of Actual Se	rvices Provided by	Your Staff:					

Consultant's Name:







# COMMENTS AND SUGGESTIONS ON THE TERMS OF REFERENCE, COUNTERPART STAFF, AND FACILITIES TO BE PROVIDED BY THE CLIENT

Form TECH-3: comments and suggestions on the Terms of Reference that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc.

#### A - On the Terms of Reference

{Improvements to the Terms of Reference, if any}

# **B** - On Counterpart Staff and Facilities

{Include comments on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}







# DESCRIPTION OF THE METHODOLOGY AND WORK PLAN IN RESPONDING TO THE TERMS OF REFERENCE

Form TECH-4: a description of the methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{Suggested structure of your Technical Proposal:

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organization and Staffing}
- a) <u>Technical Approach and Methodology.</u>{Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. <u>Please do not repeat/copy the TORs in here.</u>}
- b) <u>Work Plan.</u>{Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents(including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}
- c) <u>Organization and Staffing.</u>{Please describe the structure and composition of your team, including the list of the Key Experts and relevant technical and administrative support staff.}







# **WORK SCHEDULE AND PLANNING FOR DELIVERABLES**

N°	Deliverables <sup>1</sup> (D)	Months									
		1	2	3	4	5	6	7	8	9	TOTAL
D-1	Inception Report										
D-2	Monthly Progress Report										
D-3	Field Report										
D-4	Draft Final Report										
D-5	Final Report										

- 1 List the deliverables with the breakdown for activities required to produce them and other benchmarks such as the Client's approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in a form of a bar chart.
- 3. Include a legend, if necessary, to help read the chart.







### FORM TECH-6

### TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

N°	Name, Nationalit y and	Expert's inpu	ut (in	person/r	month) per	е	ach Deliv	/erable (	(lis	sted in T	ГЕСН-	5)	Total t	Fotal time-input in Months)			
	DOB	Position		D-1	D-2		D-3	D-4		D-5			Home	Field	Total		
KEY	EXPERTS	T			Ī							1 1			1		
1																	
2																	
3																	
4	As Per				-												
5	Tor											 					
6					_												
7						 											
8												-   -					
9																	

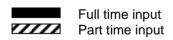






10										
11	As Per Tor							 		
12	101									
							Subtotal			
NON EXPE	-KEY ERTS									
N-1		[Home] [Field]								
N-2	As Per					 		 		
N-3	Tor							 		
N-4						 	Subtotal	 		
						Total				

- 1 For Key Experts, the input should be indicated individually for the same positions as required under the Data Sheet ITC21.1.
- Months are counted from the start of the assignment/mobilization. 3 "Home" means work in the office in the expert's place of residence. "Field" work means work carried out in the site.









### **CURRICULUM VITAE (CV)**

Position Title and No.	{e.g., K-1, TEAM LEADER}
Name of Firm	Insert name of firm proposing the expert
Name of Expert:	{Insert full name}
Date of Birth:	{day/month/year}
Citizenship	

5101 <u></u>				
Education: {List college/university educational institutions, dates attended.			giving	names of
Employment record relevant to the reverse order. Please provide dates type of employment (full time, particular location of the assignment, and configuration(s) who can be contacted the assignment does not need to be	name of employing of time, contractual), contact information of the for references. Pas	organization, title types of activiti of previous clien	s of pos es perfo ts and	itions held, ormed and employing

Period	Employing organization and your title/position. Contact information for references	Country	Summary of activities performed relevant to the Assignment
[e.g., May 2005- present]	[e.g., Ministry of, advisor/consultant to		
	For references: Tel/e-mail; Mr. Bbbbbb, deputy minister]		

Membership in Professional Associations and Publications:	
Language Skills (indicate only languages in which you can work):	

### **Adequacy for the Assignment:**

Detailed Tasks Assigned on Consultant's Team of Experts:	Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks
{List all deliverables/tasks as in TECH- 5 in which the Expert will be involved)	



Full name of authorized representative:





Expert's contact information: (e-mail phone)	
Certification:	
I, the undersigned, certify to the best of my knowledge and belief th	at
(i) This CV correctly describes my qualifications and experience	
(ii) I amnot a current employee of the GoN	
(iii) In the absence of medical incapacity, I will undertake this assign in terms of the inputs specified for me in Form TECH 6 provider place within the validity of this proposal.	
(iv) I was not part of the team who wrote the terms of reference fassignment	or this consulting services
<ul><li>(v) I am not currently debarred by a multilateral development bas project]</li></ul>	nk (In case of DP funded
(vi) I certify that I have been informed by the firm that it is including the {name of project and contract}. I confirm that I will be a assignment for which my CV has been submitted in accordance arrangements and schedule set out in the Proposal.	vailable to carry out the
I understand that any willful misstatement described herein may leadismissal, if engaged.	ad to my disqualification or
	Date: <i>Day/Month/Year</i>
[Signature of expert]	Day/Month/Year
	Date:
[Signature of authorized representative of the firm]	Day/Month/Year







### Section 4. Financial Proposal - Standard Forms

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

FIN-1	Financial Proposal Submission Form
FIN-2	Summary of Costs
FIN-3	Breakdown of Remuneration
FIN-4	Other Expenses, Provisional Sums



Yours sincerely.

Address:

E-mail:





# FORM FIN-1 FINANCIAL PROPOSAL SUBMISSION FORM

{Location. Date} To: [Name and address of Client] Dear Sirs: We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal. Our attached Financial Proposal is for the amount of (Indicate the corresponding to the amount(s) currency (ies)} {Insert amount(s) in words and figures}, excluding Value Added Tax (VAT) Clause 25.2 in the Data Sheet. (Please note that all amounts shall be the same as in Form FIN-2}. Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Clause 12.1 of the Data Sheet. Commissions, gratuities or fees paid or to be paid by us to an agent or any other party relating to preparation or submission of this Proposal and Contract execution, paid if we are awarded the Contract, are listed below: Name and Address Purpose of Commission Amount and Of Agent(s)/other party or Gratuity Currency {If no payments are made or promised, add the following statement: "No commissions, gratuities or fees have been or are to be paid by us to agents or any other party relating to this Proposal and, in the case of award, Contract execution." We understand you are not bound to accept any Proposal you receive. We remain,

{For a joint venture, either all members shall sign or only the lead member/consultant, in which case the power of attorney to sign on behalf of all members shall be attached.

Authorized Signature (in full):



Seal:





### FORM FIN-2 SUMMARY OF COSTS

### Dhangadhi Sub Metropolitan City

Office of the Municipal Executive

Dhangdahi, Kailali

Sudur Paschim Pradesh, Nepal

### BoQ

Name of Work:- Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City

Location:- Various Wards of DSMC			Fiscal Year 081-082				Total Road Length 26.3 Km				
CN Description of Works	T1:4	O otit	D:-4:4	Desten	Rate		<b>A</b>	D			
S.N.	Description of Works	Unit	Quantity	District	Region	In Figure	In Words	Amount	Remarks		
1	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City as per ToR	Km.	26.30	Kailali	Terrain						
2	Total										
3			VAT @ 13	3%							
4			Grand To	tal							

Grand Total in Words:	
Authorized Signature:	
Name of Firm/Consultant:	Division Engineer
Date:	



(e)





### Sample Form

Consultant:	Country:	
Assignment:	Date:	

### Consultant's Representations Regarding Costs and Charges

We hereby confirm that:

- (a) the basic fees indicated in the attached table are taken from the firm's payroll records and reflect the current rates of the Experts listed which have not been raised other than within the normal annual pay increase policy as applied to all the Consultant's Experts;
- (b) attached are true copies of the latest pay slips of the Experts listed;
- (c) the away- from- home office allowances indicated below are those that the Consultant has agreed to pay for this assignment to the Experts listed;
- (d) the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and

said factors for overhead and social charges do not include any bonuses or other

means of profit-snaring.		
[Name of Consultant]	_	
Signature of Authorized Representative		
Name:	_	
Title:		







### **Section 5. Eligible Countries**

**NEPAL** 

### Section 6. Corrupt and Fraudulent Practices

It is the GoN's policy to require its implementing agencies, as well as consultants under GoN (or DP) financed contracts, to observe the highest standard of ethics during the selection and execution of such contracts. In pursuance of this policy, the GoN:

- defines, for the purposes of this provision, the terms set forth below as follows:
  - (i) "corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, anything of value to influence improperly the actions of another party:
  - (ii) "fraudulent practice" means any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation;
  - (iii) "coercive practice" means impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party:
  - (iv) "Collusive practice" means an arrangement between two or more parties designed to achieve an improper purpose, including influencing improperly the actions of another party.
  - (iv) "obstructive practice" means:
    - (aa)deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a GoN/DP investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
    - (bb) acts intended to materially impede the exercise of the GoN's/DP's inspection and audit rights provided for under Clause GCC 25.2.
- h. will reject a proposal for award if it determines that the consultant recommended for award has engaged in corrupt or fraudulent activities in competing for the contract in question;
- will cancel the consultant's contract if it at any time determines that corrupt or C. fraudulent practices were engaged in by representatives of the consultant or the Client during the selection process or the execution of that contract;
- d. will blacklist a consultant for a stated period of time, to be awarded a contract if it at any time determines that the consultant has engaged in corrupt or fraudulent practices in competing for, or in executing, a contract; and
- e. will have the right to require that, a provision be included requiring consultants to permit the Client to inspect their accounts and records relating to the performance of the contract and to have them audited by auditors appointed by the Client.









### **PART II**

# **Section 8. Conditions of Contract and Contract Forms**

### **Foreword**

- 1. Part II includes standard Contract forms for Consulting Services (a Lump-Sum Contract).
- 2. Lump-Sum Contract: This type of contract is used mainly for assignments in which the scope and the duration of the Services and the required output of the Consultant are clearly defined. Payments are linked to outputs (deliverables) such as reports, drawings, bill of quantities, bidding documents, or software programs. Lump-sum contracts are easier to administer because they operate on the principle of a fixed price for a fixed scope, and payments are due on clearly specified outputs and milestones. Nevertheless, quality control of the Consultant's outputs by the Client is paramount.







# **CONTRACT FORM**







# **Consultant's Services**

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### **CONTRACT FOR CONSULTANT'S SERVICES**

### **Project Name:**

### Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City

Contract No.: 01/DSMC/CONSULTING/2081/082

Between

Dhangadhi Sub Metropolitan City Office of Municipal Executive Dhangadhi, Kailali

And

[CONSULTANT]

Dated:







### Form of Contract

(Text in brackets [] is optional; all notes should be deleted in the final text)

This CONTRACT (hereinafter called the "Contract") is made the .....day of the month of ....., between, on the one hand, Dhangadhi Sub Metropolitan City, Office of the Municipal Executive (hereinafter called the "Client") and, on the other hand, .....(hereinafter called the "Consultant").

[Note: If the Consultant consist of more than one entity, the above should be partially amended to read as follows: "...(hereinafter called the "Client") and, on the other hand, a Joint Venture (name of the JV) consisting of the following entities, each member of which will be jointly and severally liable to the Client for all the Consultant's obligations under this Contract, namely, [name of member] and [name of member] (hereinafter called the "Consultant").]

### **WHEREAS**

- the Client has requested the Consultant to provide certain consulting services as defined in this Contract (hereinafter called the "Services");
- the Consultant, having represented to the Client that it has the required professional skills, expertise and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract:
- the Client has received Government finance toward the cost of the Services and intends to apply a portion of the proceeds of this finance to eligible payments under this Contract, it being understood that (i) payments will be made only at the request of the Client (ii) such payments will be subject, in all respects, to the terms and conditions of the agreement.

NOW THEREFORE the parties hereto hereby agree as follows:

- 1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
  - The General Conditions of Contract; (a)
  - The Special Conditions of Contract; (b)
  - Appendices: : [Note: If any of these Appendices are not used, the words "Not Used" should be inserted next to the title of the Appendix and on the sheet attached hereto carrying the title of that Appendix.]

Appendix A: Terms of Reference

Appendix B: Key Experts

Appendix C: Breakdown of Contract Price

Appendix D: Form of Advance Payments Guarantee [Use only for donor-

funded project only. Specify "Not Applicable" for GoN funded

projects

**Medical Certificate** Appendix E:

Appendix F: Minutes of Negotiation Meetings





In the event of any inconsistency between the documents, the following order of precedence shall prevail: the Special Conditions of Contract; the General Conditions of Contract; Appendix A; Appendix B; Appendix C; Appendix D;

Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

- 2. The mutual rights and obligations of the Client and the Consultant shall be as set forth in the Contract, in particular:
  - (a) The Consultant shall carry out the Services in accordance with the provisions of the Contract; and
  - (b) The Client shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

Office Chief	
Engineer	
For and on behalf	

For and on behalf of [Local Infrastructure Development Project Office]

Appendix E and Appendix F.







#### L **General Conditions of Contract**

### A. GENERAL PROVISIONS

### 1. Definitions

- 1.1. Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
- "Applicable Guidelines" means the policies of the Development Partner (DP) governing the selection and Contract award process, in case of DP funded project.
- "Applicable Law" means the laws and any other instruments having the force of law in Nepal as they may be issued and in force from time to time.
- (c) "Borrower *[or Recipient or Beneficiary]*" means the Government, Government agency or other entity that signs the financing [or loan/grant/project] agreement with the Development Partner.
- "Client" means [procuring entity/the implementing/ executing] agency that signs the Contract for the Services with the Selected Consultant.
- (e) "Consultant" means legally-established professional а consulting firm or entity selected by the Client to provide the Services under the signed Contract.
- "Contract" means the legally binding written agreement signed (f) between the Client and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
- "Day" means a working day unless indicated otherwise. (g)
- (h) "Development Partner (DP)" means the country/institution funding the project as specified in the SCC.
- "Effective Date" means the date on which this Contract comes (i) into force and effect pursuant to Clause GCC 11.
- (j) "Experts" means, collectively, Key Experts, Non-Key Experts or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.
- "Foreign Currency" means any currency other than the currency of the Client's country.
- "GCC" means these General Conditions of Contract. (I)





- (m) "Government" means the government of Nepal (GoN).
- (n) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (o) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant's proposal.
- (p) "Local Currency" means the currency of Nepal (NPR).
- (q) Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part thereof under the Contract.
- (r) "Party" means the Client or the Consultant, as the case may be, and "Parties" means both of them.
- (s) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- (t) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- (u) "Sub-consultants" means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.
- (v) "Third Party" means any person or entity other than the Government, the Client, the Consultant or a Sub-consultant.

# 2. Relationship between the Parties

- 2.1. Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Client and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Subconsultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.
- 3. Law Governing Contract
- 3.1. This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law of Nepal.

### 4. Language

4.1. This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.





- 5. Headings
- 5.1. The headings shall not limit, alter or affect the meaning of this Contract.
- 6. Communicat ions
- 6.1. Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the language specified in Clause GCC 4. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the **SCC**.
- 6.2. A Party may change its address for notice hereunder by giving the other Party any communication of such change to the address specified in the **SCC**.
- 7. Location
- 7.1. The Services shall be performed at such locations as are specified in **Appendix A** hereto and, where the location of a particular task is not so specified, at such locations, whether in the Government's country or elsewhere, as the Client may approve.
- 8. Authority of Member in Charge
- 8.1. In case the Consultant is a Joint Venture, the members hereby authorize the member specified in the **SCC** to act on their behalf in exercising all the Consultant's rights and obligations towards the Client under this Contract, including without limitation the receiving of instructions and payments from the Client.
- 9. Authorized Representati ves
- 9.1. Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Client or the Consultant may be taken or executed by the officials specified in the **SCC**.
- 10. Corrupt and Fraudulent Practices
- 10.1 The GoN/DP requires compliance with its policy in regard to corrupt and fraudulent/prohibited practices as set forth in **Attachment 1** to the GCC.
- a.
  Commi ssions and Fees
- 10.2 The Client requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to agents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or the other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions and gratuities may result in termination of the Contract.
- B. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT
- 11. Effectiveness of Contract
- 11.1. This Contract shall come into force and effect on the date (the "Effective Date") of the Client's notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in







the SCC have been met.

# 12. Termination of Contract for Failure to Become Effective

12.1. If this Contract has not become effective within such time period after the date of Contract signature as specified in the **SCC**, either Party may, by not less than thirty (30) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.

# 13. Commencement of Services

- 13.1. The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than the number of days after the Effective Date specified in the **SCC**.
- 14. Expiration of Contract
- 14.1. Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of such time period after the Effective Date as **specified in the SCC** or such other time period as the Parties may agree in writing.

### 15. Entire Agreement

15.1. This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.

# 16. Modifications or Variations

16.1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.

### 17. Force Majeure

### a. Definition

- 17.1. For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action, confiscation or any other action by Government agencies.
- 17.2. Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.
- 17.3. Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.







17.4. The failure of a Party to fulfill any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

## c. Measures to be Taken

- 17.5. A Party affected by an event of Force Majeure shall take all reasonable measures to remove such Party's inability to fulfill its obligations hereunder with a minimum of delay.
- 17.6. A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.
- 17.7. A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fifteen (15) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.

# d Extension of Time (EoT)

- 17.8. Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.
- 17.9. During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Client, shall either:
- (a) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Client, in reactivating the Services; or
- (b) continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.
- 17.10. The Consultant shall submit an application to the Client for extension of time, stating the causes for delay with supporting evidence within 7 days before the expiry of the Contract completion date. The approval of EoT shall be subject to verification by the Client whether:
  - (a) the consultant had made the best possible efforts to complete the work in due time,
  - (b) the facilities to be provided by the Client as per the contract to the Consultant was made in time or not,







### 18. Suspension

(c) the delay was as a result of Force Majeure or not.

18.1. The Client may, by written notice of suspension to the Consultant, suspend all payments to the Consultant hereunder if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

### 19. Termination

- 19. 1 This Contract may be terminated by either Party as per provisions set up below:
- By the Client a.
- 19.1.1 The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (e) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); and at least sixty (60) calendar days' written notice in case of the event referred to in (e):
- If the Consultant fails to remedy a failure in the (a) performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GCC 18 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Client may have subsequently approved in writing:
- (b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
- (c) If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 48.1:
- (d) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
- If the Client, in its sole discretion and for any reason (e) whatsoever, decides to terminate this Contract:
- (f) If the Consultant fails to furnish the professional liability insurance within 30 days from the date of signing of the contract agreement.
- Furthermore, if the Client determines that the Consultant has engaged in corrupt, fraudulent, collusive,







b. By the Consultant

coercive [or obstructive] practices, in competing for or in executing the Contract, then the Client may, after giving fifteen (15) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

- 19.1.3 The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.
- (a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clauses GCC 48.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- (b) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- (c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 48.1.
- (d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach.
- c. Cessation of Rights and Obligations
- 19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 23, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 26, and (iv) any right which a Party may have under the Applicable Law.
- d. Cessation of Services
- 19.1.5 Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Client, the Consultant shall proceed as provided, respectively, by Clauses GCC 28 or GCC 29.





# e. Payment upon Termination

- 19.1.6 Upon termination of this Contract, the Client shall make the following payments to the Consultant:
- (a) payment for Services satisfactorily performed prior to the effective date of termination; and
- (b) in the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

### C. OBLIGATIONS OF THE CONSULTANT

### 20. General

# a. Standard of Performance

- 20.1 The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with the third parties.
- 20.2 The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.
- 20.3 The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Client. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

# b. Law Applicable to Services

- 20.4 The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.
- 20.5 Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in the Client's country when
  - (a) as a matter of law or official regulations, Client's country prohibits commercial relations with that country; or
  - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Client's Country prohibits any import of goods from that country or any







payments to any country, person, or entity in that country.

The Consultant shall hold the Client's interests paramount,

20.6 The Client shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.

without any consideration for future work, and strictly avoid conflict

with other assignments or their own corporate interests.

receive any such additional payment.

- 21. Conflict of Interests
  - a. Consultant
    Not to Benefit
    from
    Commissions,
    Discounts, etc.
- 21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 39 through 45) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not
- 21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant shall comply with any applicable procurement guidelines as per the prevailing Public Procurement Act and Regulations of the GoN(or of the Donors/funding agencies) and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Client.
- b. Consultant and Affiliates Not to Engage in Certain Activities
- c. Prohibition of Conflicting Activities
- 21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.
- 21.1.4 The Consultant shall not engage, and shall cause their Personnel as well as its Sub-consultants and their Personnel not to engage, either directly or indirectly, in any of the following activities:
- a. during the term of this Contract, any business or professional activities in Nepal which would conflict with the activities assigned to them under this Contract; and
- b. after the termination of this Contract, such other activities as may be specified in the SCC
- d. Strict Duty to Disclose Conflicting
- 21.1.5 The Consultant has an obligation and shall ensure that its Personnel and Sub-consultants shall have an obligation to disclose any situation of actual or potential





### **Activities**

conflict that impacts their capacity to serve the best interest of their Client, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the termination of its Contract.

## 22. Conduct of Consultants

- 22.1 The Consultant shall be responsible to fulfill his obligations as per the requirement of the Contract Agreement, RFP documents and GoN's Procurement Act and Regulations.
- 22.2 The consultant shall not carry out or cause to carry out the following acts with an intention to influence the implementation of the procurement process or the contract agreement:
  - (i) give or propose improper inducement directly or indirectly,
  - (ii) distortion or misrepresentation of facts
  - (iii) engaging or being involved in corrupt or fraudulent practice
  - (iv) Interference in participation of other prospective consultants.
  - (v) coercion or threatening directly or indirectly to impair or harm, any party or the property of the party involved in the procurement proceedings,
  - (vi) collusive practice among consultants before or after submission of proposals for distribution of works among consultants or fixing artificial/uncompetitive proposal price with an intention to deprive the Client the benefit of open competitive proposal price.
  - (vii)contacting the Client with an intention to influence the Client with regards to the proposals or interference of any kind in examination and evaluation of the proposals during the period after opening of proposals up to then notification of award of contract

# during the period after opening of proposals up to then notification of award of contract 23.1 The Consultants, their Sub-consultants, and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary of confidential information relating to

the Project, the Services, this Contract, or the Client's

business or operations without the prior written consent of the Client.

# 24. Liability of the Consultant

23. Confidentiality

- 24.1 Subject to additional provisions, if any, set forth in the **SCC**, the Consultant's liability under this Contract shall be as determined under the Applicable Law.
- 25. Insurance to be taken out by the Consultant
- 25.1 The Consultant (i) shall take out and maintain, and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage specified in the **SCC**, and (ii) at

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the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC 13.

25.2 The Consultant shall take out and maintain professional liability insurance within 30 days of signing of the contract agreement.

### 26. Accounting, Inspection and Auditing

- 26.1 The Consultant shall keep, and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.
- 26.2. The Consultant shall permit and shall cause its Subconsultants to permit, the Client/DP and/or persons appointed by the Client/DP to inspect the Site and/or all accounts and records relating to the performance of the Contract and the submission of the Proposal to provide the Services, and to have such accounts and records audited by auditors appointed by the Client/DP if requested by the Client/DP. The Consultant's attention is drawn to Clause GCC 10 which provides, inter alia, that acts intended to materially impede the exercise of the Client/DP's inspection and audit rights provided for under this Clause GCC26.2 constitute a prohibited practice subject to contract termination.

# 27. Reporting Obligations

- 27.1 The Consultant shall submit to the Client the reports and documents specified in **Appendix A**, in the form, in the numbers and within the time periods set forth in the said Appendix.
- 28. Proprietary Rights of the Client in Reports and Records
- 28.1 Unless otherwise indicated in the **SCC**, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Client in the course of the Services shall be confidential and become and remain the absolute property of the Client. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Client.
- 28.2 If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain the Client's prior written approval to such agreements, and the Client shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about







### 29. Equipment, Vehicles and Materials

the future use of these documents and software, if any, shall be specified in the SCC.

- Equipment, vehicles and materials made available to the Consultant by the Client, or purchased by the Consultant wholly or partly with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Client an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Client's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Client in writing, shall insure them at the expense of the Client in an amount equal to their full replacement value.
- Any equipment or materials brought by the Consultant or its 29.2 Experts into the Client's country for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

### D. CONSULTANT'S EXPERTS AND SUB-CONSULTANTS

### 30. Description of Key **Experts**

30.1 The title, agreed job description, minimum qualification and time-input estimates to carry out the Services of each of the Consultant's Key Experts are described in Appendix B.

### 31. Replacement of **Key Experts**

- Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts.
- Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

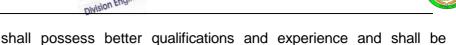
### 32. Removal of Experts or Sub-consultants

- 32.1 If the Client finds that any of the Experts or Sub-consultant has committed serious misconduct or has been charged with having committed a criminal action, or shall the Client determine that Consultant's Expert of Sub-consultant have engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practice while performing the Services, the Consultant shall, at the Client's written request, provide a replacement.
- In the event that any of Key Experts or Sub-consultants is found by the Client to be incompetent or incapable in discharging assigned duties, the Client, specifying the grounds therefore, may request the Consultant to provide a replacement.
- 32.3 Any replacement of the removed Experts or Sub-consultants





acceptable to the Client.



32.4 The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

### **E.** OBLIGATIONS OF THE CLIENT

# 33. Assistance and Exemptions

- 33.1 Unless otherwise specified in the **SCC**, the Client shall use its best efforts to:
- (a) Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
- (b) Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Client's country while carrying out the Services under the Contract.
- (c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.
- (d) Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
- (e) Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in the Client's country according to the applicable law in the Client's country.
- (f) Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in the Client's country, of bringing into the Client's country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
- (g) Provide to the Consultant any such other assistance as may be specified in the **SCC**.

# 34. Access to Project Site

34.1 The Client warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to the project site or any property





them.

thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of

- 35. Change in the Applicable LawRelated to Taxes and Duties
- 35.1 If, after the date of this Contract, there is any change in the applicable law in the Client's country with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause GCC 39.1.
- 36. Services, Facilities and Property of the Client
- 36.1 The Client shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (Appendix A) at the times and in the manner specified in said Appendix A.
- 37. Counterpart Personnel
- 37.1 The Client shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Client with the Consultant's advice, if specified in **Appendix A**.
- 37.2 If counterpart personnel are not provided by the Client to the Consultant as and when specified in **Appendix A**, the Client and the Consultant shall agree on (i) how the affected part of the Services shall be carried out, and (ii) the additional payments, if any, to be made by the Client to the Consultant as a result thereof pursuant to Clause GCC 39.2
- 37.3 Professional and support counterpart personnel, excluding Client's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Client shall not unreasonably refuse to act upon such request.
- 38. Payment Obligation
- 38.1 In consideration of the Services performed by the Consultant under this Contract, the Client shall make such payments to the Consultant for the deliverables specified in **Appendix A** and in such manner as is provided by GCC F below.

### F. PAYMENTS TO THE CONSULTANT

- 39. Contract Price
- 39.1 The Contract price is fixed and is set forth in the SCC. The





Contract price breakdown is provided in Appendix C.

39.2 Any change to the Contract price specified in Clause 39.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing the Terms of Reference in **Appendix A**.

### 40. Taxes and Duties

- 40.1 The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract.
- 41. Currency of Payment
- 41.1 Any payment under this Contract shall be made in the currency (ies) specified in the **SCC**.
- 42. Mode of Billing and Payment
- 42.1 The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 39.1.
- 42.2 The payments under this Contract shall be made in lumpsum installments against deliverables specified in **Appendix A**. The payments will be made according to the payment schedule stated in the **SCC**.
  - 42.2.1 <u>Advance payment:</u>Unless otherwise indicated in the **SCC**, an advance payment shall be made against an advance payment bank guarantee acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the **SCC**. Such guarantee (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in **Appendix D**, or in such other form as the Client shall have approved in writing. The advance payments will be set off by the Client in equal portions against the lump-sum installments specified in the **SCC** until said advance payments have been fully set off.
  - 42.2.2 <u>The Lump-Sum Installment Payments.</u> The Client shall pay the Consultant within sixty (60) days after the receipt by the Client of the deliverable(s) and the cover invoice for the related lump-sum installment payment. The payment can be withheld if the Client does not approve the submitted deliverable(s) as satisfactory in which case the Client shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.
  - 42.2.3 <u>The Final Payment</u>. The final payment under this Clause shall be made only after the final report I have been submitted by the Consultant and approved as satisfactory by the Client. The Services shall then be deemed completed and finally accepted by the Client. The last lump-sum installment shall be deemed approved for payment by the Client within ninety (90) calendar days after receipt of the final report by the Client unless







the Client, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

- 42.2.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC.
- With the exception of the final payment under 41.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations hereunder.

### 43. Retention

- **43.1.** The Client shall retain from each payment due to the Consultant the proportion stated in the SCC until Completion of the whole of the Works.
- 43.2. One half the total amounts retained shall be repaid to the Consultant at the time of the payment of the Final Bill pursuant to GCC Clause 42.2.3 and the remaining half shall be paid to the consultant within 15 days after submission of document issued by the concerned Internal Revenue Office that the consultant has submitted his Income Returns.

### 44. Interest on Delayed **Payments**

44.1. If the Client had delayed payments beyond fifteen (15) days after the due date stated in Clause GCC 42.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

### 45. Liquidated **Damages**

**45.1.** The Consultant shall pay liquidated damages to the Client at the rate per day stated in the SCC for each day that the completion of services is later than the Completion Date. The total amount of liquidated damages shall not exceed the amount defined in the SCC. Beyond this limit the contract may be terminated by the Client. The Client may deduct liquidated damages from any payments due to the Consultant. Payment of liquidated damages shall not affect the Consultant's liabilities.

### G. FAIRNESS AND GOOD FAITH

### 46. Good Faith

The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

#### H. SETTLEMENT OF DISPUTES

### 47. Amicable Settlement

The Parties shall use their best efforts to settle amicably all 47.1 disputes arising out of or in connection with this Contract or the







interpretation thereof.

47.2 If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fifteen (15) days after receipt. If that Party fails to respond within fifteen (15) days, or the dispute cannot be amicably settled within fifteen (15) days following the response of that Party, Clause GCC 48.1 shall apply.

### 48. Dispute Resolution

48.1 Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably within thirty (30) days after receipt by one party of the other Party's request for such amicable settlement may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the **SCC**.

### I. BLACKLISTING

### 49. Blacklisting

- 49.1 Without prejudice to any other right of the Client under this Contract, Public Procurement Monitoring Office may blacklist a Consultant for his conduct up to three years on the following grounds and seriousness of the act committed by the consultant.
  - a) if it is proved that the consultant committed acts pursuant to GCC 22..2,
    - b) if the Consultant fails to sign an agreement pursuant to Information to Consultants Clause 29.3,
    - c) if it is proved later that the Consultant has committed substantial defect in implementation of the contract or has not substantially fulfilled his obligations under the contract or the completed work is not of the specified quality as per the contract.
    - d) if convicted by a court of law in a criminal offence which disqualifies the consultant from participating in the assignment.
    - e) if it is proved that the contract agreement signed by the Consultant was based on false or misrepresentation of consultant's qualification information,
    - f) if the consultant fails to submit the professional liability insurance within the period stipulated in the contract.
- 49.2 A Consultant declared blacklisted and ineligible by the Public procurement Office, and or concerned Donor Agency in case of donor funded project, shall be ineligible to participation the selection process during the period of time determined by the PPMO, and or the concerned donor agency.





# **II.** Special Conditions of Contract

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract	
6.1 and 6.2	The addresses are:	
	Client : Dhangadhi Sub Metropolitan City Office of Municipal Executive, Dhangadhi, Kailali	
	Project Chief : Divisional Engieer Attention : Deej Raj Bhatta Facsimile :	
	Consultant :	
	Attention : Facsimile :	
8.1	The Lead Member on behalf of the JV is	
9.1	The Authorized Representatives are:	
	For the Client: Office Chief	
	For the Consultant:	
12.1	Termination of Contract for Failure to Become Effective:	
	The time period shall be 2 months from work order	
13.1	Commencement of Services:	
	The number of days shall be seven	
	Confirmation of Key Experts' availability to start the Assignment shall be submitted to the Client in writing as a written statement signed by each Key Expert.	
14.1	Expiration of Contract: The time period shall be 2 months from work order	
21 b.	The Client reserves the right to determine whether the Consultant should be disqualified from providing goods, works or non-consulting services due to a conflict of a nature described in Clause GCC 21.1.3	







24.1	No additional provisions.		
	[OR		
	"Limitation of the Consultant's Liability towards the Client:		
	(a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Client's property, shall not be liable to the Client:		
	(i) for any indirect or consequential loss or damage; and		
	(ii) for any direct loss or damage that exceeds (A) the total payments for professional fees and reimbursable expenditures made or expected to be made to the Consultants hereunder, or (B) the proceeds the Consultants may be entitled to receive from any insurance maintained by the Consultants to cover such a liability, whichever of (A) or (B) is higher;		
	(b) This limitation of liability shall not		
	<ul> <li>(i) affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services;</li> </ul>		
	(ii) be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the Applicable Law of the Client's country.		
25.1	The insurance coverage against the risks shall be as follows:		
	(a) Professional liability insurance, with a minimum coverage of Contract amount;		
28.1	N/A		
28.2	The Consultant shall not use these documents and software for purposes unrelated to this Contract without the prior written approval of the Client.		
39.1	The Contract price is: 1766189.93 (Exclusive of Value Added Tax).		
42.2	The payment schedule:		
	As mentioned in ToR		
42.2.1	The advance payment is not applicable.		







42.2.4	The accounts are:	
43.1	The proportion of payments retained is : 5%	
44.1	The interest rate is:	
45.1	The liquidated damage is: 0.05% per day. The maximum amount of liquidated damages is: 10% of the sum stated in the Agreement.	
48.	Arbitration shall be conducted in accordance with Nepal Arbitration Act	











# Terms of Reference

For

**Detail Engineering Survey, Design and Report Preparation (DPR)** 

Of

Various Roads of Dhangadhi Sub Metropolitan City







### TERMS OF REFERENCE

For Preparation of Detailed Project Report (DPR) of Various Roads of Dhangadhi Sub Metropolitan City

### A. Background

In Nepal, with mostly hilly and mountainous terrain and a sizeable population located in rural areas, roads are a prominent mode of transport providing critical access to economic opportunities, health, and educational services, administrative centers, and relief at the time of natural calamities. Out of the total road network, about 14% of road networks belong to the strategic road network of national highways and important feeder roads, and the remaining 86% account for provincial and local road networks (PLRN). Although post federalism, the country has witnessed an increase in the provincial and local road networks. However, currently, only 1/4<sup>th</sup> of the PLRN provides all-weather connectivity, (only 6.7% black-top or gravel roads), and the remaining network is mostly earthen roads. Nearly half of the rural population, (47%) is estimated to be living beyond 2km from an all-season road. Also, about 1/5<sup>th</sup> of the rural population lives in "very remote" areas facing substantial challenges in having access to essential services. Among the 753 local levels, 200 rural municipalities/Local level centers are not connected by paved roads, and 21 rural municipalities/local level centers that are yet to be connected with a road head.

Transport is one of the major components to improve access of the people to services and facilities through increased mobility and is considered as the "Infrastructure for Infrastructure" and forms a basis for increasing the access as well as mobility in either rural as well as urban environment. Urbanization and its associated transportation infrastructure define the relationship between city and countryside, accessibility is one of the major components to improve access of the people to service and facilities through increased mobility. Increased mobility results in better linkages with the market centers, tourism sector, agricultural production pocket area and help in generating numerous opportunities in the Municipality.

With declaration of municipality, the transportation infrastructure will facilities for increased mobility via better linkages with the market centres, agricultural production pocket areas and help in generating numerous opportunities in the district. With transport sector interventions and planning based on accessibility as well as mobility considerations, Municipal Transport Master Plan (MTMP) for a municipality offers long-term perspective for the planned development of the urban road network within the municipality. Thus, MTMP of Dhangadhi Sub Metropolitan City (DSMC) will support the probable investments in systematic transport system with appropriate guidelines and criteria for rational decision-making process.

DSMC consists of Nineteen wards as its administrative divisions and is upgraded to Sub-Metropolitan City from municipality on 18 September 2015 by merging Fulbari and Urma VDC. Total population of DSMC is 1,76,611 and number of household is 31,852 population (Municipal Profile 2021) in the area of 261.74 sq.km. The population density





of the DSMC is 674.76 people per sq.km, which is even high in the urban areas. Household survey is conducted to extract socio-economic and trip characteristics of the locality. Demand survey of various interventions is carried out to draw the actual need intervention based on priority order. Road Inventory survey with help of manual and GPS method is employed to summarize actual road scenario within the municipality. Municipality Road Coordination Committee (MRCC) has been constituted as authorized legislative body of municipality, which has been prepared using participatory bottom-up approach. The Municipality Road Coordination Committee (MRCC), comprising all political parties' representatives and concerned technical officials has been constituted as authorized legislative body of municipality for MTMP preparation and implementation process.

The land use distribution of DSMC shows that about 10.24% areas is being covered with settlement, which is a significant figure, this shows the rapid urbanization of the city. 33.87% area is being covered by forest and 47.36% area as well as cultivable area thus the municipality will serve both as agricultural pocket as well as settlement areas.

Road inventory survey was carried out in the municipality and total length of the roads surveyed was 948.90 Km, which doesn't includes road which is less than 3.0m width and road with having dead end (length less than 150m). The road width ranges from less than 2m (trail roads) to 50m (SRN), the high municipal roads width lies within 12m. There is total of 33.56km of Strategic road network within DSMC. Among total road network, (30.45)% of the road width is less than 6m, (27.30%) is between 6-8m, (20.43%) is between 8-10m and even the wider road (>10m) also has significant proportion(21.82%). Ward 3, 7, 13, 14 and 19 has high road network within the administrative boundary, which is in the more than 60 km and Ward 8,9 and 10 has low road network in the range of 26-28 km. Ward 4 and 8 has high road density in terms of areas, whereas Ward 15, 16 and 17 has high road density in terms of population.

DSMC is connected with two National Highway and five District roads. Proper analysis of road network and possible development of the various locations within municipality, Road Hierarchy had been developed. Ten Class A roads with length of around 129.65 km and right of way of 30m have been proposed to serve the major arterial roads. Twenty-Three Class B roads with length of 62.78 km and right of way of 22m have been proposed to serve arterial roads as well as Class A roads, Ninety-Eight Class C roads with length around 170.15 km and right of way 14m Based on technical study as well as interaction with municipality, an outer ring road of tentative alignment length of the Ring Road is 97.57 Km is proposed.

In this context, Dhangadhi Sub Metropolitan City Dhangadhi, Kailali intends to hire a domestic consultant for preparation of DPR to Upgrade Various roads within Dhangadhi Sub Metropolitan City.





# B. Objectives

The government intends to recruit Consulting services to assist the government in carrying out the preparation of DPR of roads. The key activities of DPR are given as below:

- Carry out a detailed survey and investigation of roads.
- Carry out detailed engineering design, drawing and cost estimate, for the identified roads including cross drainages and road side structures.
- Carry out a detailed design for slope protection areas, wherever necessary.
- Propose improvements of geometrics of road, road safety measures with Road Safety Audit.
- Propose appropriate packaging of works and time for completion.
- Carryout environmental assessment of proposed road.
- Carryout Environmental and Social assessment to prepare Environmental Management Plan and Social Management Plan and Comply with the social and environmental safeguard guidelines of DoR.

The consultant's responsibilities in detailed design will include but not necessarily be limited to the following:

- a. Carry out engineering surveys for detailed engineering design. The surveys should include but are not limited to topography, geotechnical, material, hydrology, drainage, and structures.
- b. Prepare inventory of road sections selected for detailed engineering, including geometric features, and type and condition of drainage structures, including an estimate of their load-carrying capacity, pavements, and other major features.
- c. Carry out detailed topographic surveys, including horizontal and vertical alignments and cross-sections. Establish horizontal control points, benchmarks, and reference beacons as required to prepare detailed engineering designs and enable to calculate construction quantities with reasonable accuracy.
- d. Carry out transect walk survey along the road corridor and identify total existing road width and additional land required for the road construction/upgrading and consult with affected land owners to make group voluntarily land donation agreement with land owners.
- e. During transect walk identify the potential physical and social impact and suggest mitigation measures to avoid the negative impact.

### The scope of Consultancy Services

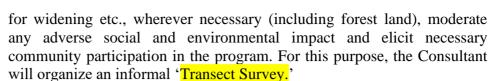
The consulting service will include, but not necessarily be limited to the following:

# 1. General Scope of Services :

i. A walkover survey of each short-listed Road subproject is to be conducted. The main aim of the walkover survey is to make a preliminary assessment of the engineering/technical feasibility, social, environmental and resettlement aspects that will further assist in identifying the subproject for detailed studies and engineering survey. In community consultation, arrange a meeting with the Rural Municipalities, Municipalities and local communities to sort out issues of land availability







- ii. Prepare inventory of existing road sections with structures, including geometric features, type and condition of drainage structures, an estimate of their load-carrying capacity, pavements, and other major features.
- iii. Carry out engineering surveys as required for preparing appropriate detailed engineering designs and enable to calculate construction quantities with reasonable accuracy (± 10 %)
- iv. Prepare designs based on the typical pavement sections by applying sound engineering practice and giving due regard to environmental and social safeguards aspects in accordance with the DoR/WB's Safeguard Policy documents and Government's related regulations and policies.
- v. Investigate the suitability of local construction materials and, where necessary, locate new quarries and borrow pits and assess the quality and quantity of materials and hauling distance.
- vi. Study the hydrological regime in detail, based on an analysis of rainfall and flood records, supplemented by engineering field investigations, to establish the adequacy of road embankment levels, culverts, and side ditches, design bed and slope protection for the drainage structures and bridges.
- vii. Assess cross-drainage requirements and proposed new structures (bridges, culverts, and causeways as appropriate) or improve existing structures.
- viii. Conduct detailed geotechnical investigation along the road.
- ix. Prepare practical and cost-effective geometric (horizontal, vertical, intersection, etc.) pavement and structural designs on the basis of projected traffic levels; pavement structure studies; axle-load considerations, as determined from activities (ii) to (vii) and from previous studies; traffic safety; environmental assessment; and other relevant inputs.
- x. Determine the most cost-effective improvement option for each project road section. Where new pavements are to be provided, they will be designed, using an internationally recognized procedure, for a 20-year life, with provision for overlays during or at the end of that period to extend the life to 25-30 years.
- xi. Prepare engineering specifications for each work item, taking into account relevant specifications in use in the country and elsewhere for similar works.
- xii. Inventory of geologically sensitive areas like slip prone areas, areas subject to landslides, rock fall, snow drifts, erosion, avalanche activity etc.
- xiii. Prepare detailed plan for slope stabilization of existing landslide areas and potential landslide areas, with detailed engineering using civil engineering structures and bio-engineering, calculate its costs estimates and include them in bills of quantities.







- xiv. Surface and sub-surface investigation and testing, detail survey and design of slope protection.
- xv. Hydrographic survey if needed, utility survey, identification of Underground Structures and Other Obstacles/Structure.
- xvi. Identification of faults in rock strata and impact of faults including geological details of rock strata.
- xvii. Identify the possible accident-prone areas and propose measures to mitigate/address road safety issues.
- xviii. Prepare detailed engineering designs and drawing of road, pavement and structures, and bills of quantities, and calculate engineering costs estimates for civil works.
  - xix. Prepare contract packages, taking into account the location of the project roads, size of contracts, and other project specific factors;
  - xx. Prepare construction completion period for each contract package with analysis which shall justify the project duration of each contract package.
  - xxi. Prepare Quality Assurance and Quality Control (QA & QC) Plans and Safety Manuals prepared to be followed on the respective construction sites so as to enforce adequate QA & QC, and safety of construction workers, engineers and citizens.
- xxii. Prepare traffic management plans with estimation for widening works, replacement of culverts, bridges etc., such that the traffic is minimally interrupted to the extent possible.
- xxiii. Prepare traffic studies, traffic forecasts and carry out Economic Analysis
- xxiv. Carry out Social Screening and prepare Social Screening Report, Community Impact Mitigation Plan (CIMP), Gender and Social Inclusion Action Plan (GESI-AP) and Livelihood Enhancement Plan (LEP) of each road sub-project and estimate for implementing those plans.
- XXV. Carry out environmental Screening and prepare Environmental Management Plan (EMP) for each sub-project, and climate risk and vulnerability assessment (CRVA) as per WB guidelines and GoN rules and regulations.
- xxvi. In case of Social and Environmental assessment of project, DPR Consultant shall have to coordinate with Environmental Section of DSMC.

# 2. Walkover Survey of Roads (Preliminary Assessment)

- (i) Extensive consultations with the concerned wards of rural municipalities & municipalities, local beneficiaries/villagers are carried out to verify their demand/requests and finally seek their concurrence. The potential for donation of land is also explored from the community consultation meetings.
- (ii) For new alignments, the possibilities of different alignment options in terms of technical, environmental, voluntary donation of land and socio-economic aspects are explored and the best option is selected.





- (iii) Social Appraisal, Rapid Environmental Screening, Resettlement Scoping and Engineering/Technical Feasibility Survey need to be carried out.
- (iv) The study is complemented by the data/information from secondary sources.
- (v) The information on Rural Municipalities/Municipalities, major settlements, population densities, ethnicity, indigenous people, major occupation and poverty situation (food sufficiency) data are included in the appraisal report.
- (vi) A Rapid Environmental Screening is carried out to make a preliminary assessment of each short-listed road subproject, from an environmental perspective during walkover survey. Similarly examine for "No Significant Impacts" for other Supplementary Infrastructure subprojects.
- (vii) All necessary environmental data are collected and observations are made using the forms and formats as per Environmental Guidelines, Eligibility Criteria and Guidelines for the Prioritization and Selection of subproject.
- (viii) Extensive consultations with the local beneficiaries/villagers carried out during a rapid Environmental Screening.
- (ix) The resettlement scoping as part of walkover survey is conducted to undertake a preliminary assessment of the potential loss in assets particularly private land and properties in the selected road subprojects. A group land donation form/record has to be filled up/prepared during resettlement scoping study and social screening.
- (x) All necessary engineering data are collected using appropriate forms and formats.
- (xi) Examine alternative alignments for new alignments as needed by making local level consultation in an effort to be within the permitted criteria of environment and resettlement and to minimize subproject costs and make comparative benefits of alternative alignments.
- (xii) The Walkover Survey Report is prepared by compiling the findings and assessment related to social, environmental, resettlement and engineering technical aspects of particular subproject.

### 3. Detailed Survey and Design of Road, and Other Structures

### 3.1 Details of Surveys and Studies

### 3.1.1 Topographical Survey and Mapping

1. The topographical survey shall collect adequate data to show the following details in the subsequent topographical map:







- Topography with details such as: trees, forests, water bodies, existing infrastructures and other land features.
- ii. Existing road details such as: formation width, paved area, access roads, and ROW limits (Buildings, Taharas, shops etc.)
- iii. Details of existing cross-drainage structure details such as: length, width and heights of culverts, bridges, details about bridge spans, pier, abutment, railing and vertical clearances, existing access under the bridge, river training works and river bank structure details
- iv. Existing power line details such as: high-tension poles, low-tension poles, transformers, sub stations, Streetlights poles, underground electrical supply (if any) etc.
- v. Existing telecommunication details such as: telephone lines, poles, cabinets, towers and underground lines (if any).
- vi. Existing water supply line details such as: supply mains, distribution lines, valves, valve chambers, underground water storage, fire hydrants, etc.
- vii. Existing sewer line details such as: trunk sewers, branches, manholes, location/position of septic tank and soak pit of the adjacent building on the both sides of road within the ROW.
- viii. Existing buildings details such as: religious shrines, governmental building, and residential building, type of foundation and tentative depth of foundation of the building
- ix. Survey and Production of a map of 100m wide road corridor in 1:500 scale and with 1.0m contour interval and clearly showing the center-line of existing roads.
- 2. While conducting Survey works, the survey team should be accompanied by a road engineer. The Consultant shall acquire the reference coordinate points from the Department of Surveys and referencing of all the survey works shall be made on these references. It should establish inter-visible benchmarks with reference points within the ROW at a distance of not more than 500m along the road and on the both sides of the riverbanks in case of bridges.
- Description cards (D- cards) of the all the bench mark shall be prepared with a set of reference for easy retrieval in future. All permanent benchmarks and survey control points should be surveyed and tolerable error shall not exceed 10 x square root of distance in Km.

# 3.1.2 Hydrological Survey

The consultant shall carry out a detailed hydrological survey and study along the road alignments and identify catchment, discharge in drain, cross drainage, seepage area etc.

#### 3.1.3 **Geotechnical Survey**

1. The following geotechnical tests should be performed as per site requirement:





- i. Determine the sub-soil condition through pitting (1m x 1m x1m) at appropriate location of road alignment.
- ii. Determine the stability of the cut slopes using appropriate stability analysis or through study, field surveys and investigation of materials at site.
- iii. Conduct other tests as required by the geological, geotechnical survey and study.

### 3.1.4 Seismological Study

The consultants shall collect and refer to the available data regarding the seismic records of the area. The consultant shall conduct seismic vulnerability analysis as well as seismic liquefaction assessment of all structures and incorporate the findings in the design. While considering seismic forces on the bridge design, the Indian Standard Criteria for Earthquake Resistant Design of Structures, with relevant IRC: Codes along with other international codes may be followed.

# 3.1.5 Material Availability Survey

The Consultant shall conduct the material availability survey and study. It shall determine the quality and quantity of the materials required for construction. The availability of the necessary material shall be surveyed to determine the following:

- i. Suitable quarry site for boulder, sub-base/base/pavement aggregates, concrete aggregates, sand, fill materials
- ii. Material to be transported from elsewhere
- iii. Material to be imported from outside Nepal, their source and route of transport
- iv. Source of water for construction, location of boring if ground water to be used The Consultant shall conduct study on the availability of construction materials like, sand gravel boulders, timber, etc. with their engineering properties, quantities and lead up to the site. Quarry site of materials with their available quantities should be shown on a sketch plan with reference to construction site.

### 3.1.6 Traffic Study and Analysis

- i. For the design purpose the traffic study at the critical points and intersection shall be made. The consultant shall make traffic demand estimates and establish possible traffic growth rates in respect of all categories of vehicles, taking into account the past trends in similar roads, annual population and real per capita growth rate, elasticity of transport demand in relation to income, estimated annual production increase. socio-economic development plans and the land use patterns of the region having impact on the traffic growth, the projections of vehicle, manufacturing industry in the country, development plans for the other modes of transport, commodity movement behavior should also be taken into account while working out the traffic demand estimates,
- ii. The Consultant shall ascertain from local enquiries about the exceptional live loads that have used the roads in the past in order to assess the suitability of existing bridges to carry such loads.







Based upon the above-mentioned studies and investigations the consultants shall make the best use of their technical know-how and professional skills to arrive at conclusion and recommend the most sustainable and cost-effective design parameters. The consultant shall discuss in detail all possible options and shall recommend the most appropriate option.

### Condition Surveys for Bridges (if any), Culverts and Structures

The Consultants shall thoroughly inspect the existing Bridges, Culverts and Structures and shall prepare a report about their condition including all the parameters in the approved format. The condition and structural assessment survey of the bridges / culverts / structures shall be carried out by senior experts of the Consultants for the bridges identified to be in a distressed condition based upon the visual condition survey, supplementary testing. Selection of tests may be made based on the specific requirement of the structure.

### 3.1.8 Inventory survey of Roads, Bridges, and Road Side structures

The Consultants shall thoroughly survey the existing alignment of Roads and prepare a detailed inventory report. The consultant shall prepare detailed drawings of the existing alignments showing the plan, profile, drainage, structures, cross-section, and other details.

### 3.1.9 Miscellaneous studies/investigations

If not covered by aforesaid, the Consultants shall perform other studies, explorations, tests surveys, calculations, etc. required to produce a full and complete set of working drawings, specifications, bills of quantities, the requirement of materials, and complete cost estimates for the road and bridge/s including related works based upon which construction activities can be started to complete without further study and/or reference to them.

#### 3.2 **Details of Design Works**

#### 3.2.1 **Design of Road**

- Design of the Road shall include the following: 1.
  - Design of the vertical and horizontal alignment, for a design speed appropriate as per NRS 2070, and subsequent revision if any.
  - ii. Recommend the appropriate lane (single lane, intermediate lane, two-lane) of the road and design accordingly.
  - iii. Design of road cross-section at a minimum of 10 m interval
  - iv. Design of cut and fill slopes minimum of 10 m interval
  - Structural design of retaining structures (Masonry, RCC, Plum concrete, reinforced earth, etc.)
  - vi. Structural design of all RCC structures
  - vii. Slope protection and Bio-engineering





- viii. Identification and design of road crossings for pedestrians and Vehicles from one side of road to another side
- ix. Identification and design of animal crossings (if necessary)
- x. Identification of road side service area and its design (if necessary)
- xi. Production of design drawings (A1 size) showing plan in 1:500 scale, Longitudinal profile in 500H to 100Vscale, cross-sections at 10 m interval in 1:100 scale
- 2. Road alignment designed on Digital Terrain Model (DTM) shall be set out at the site with staking the central line and taking levels with verification of design. The consultant may use internationally recognized software for the road and bridge design. However, availing the software for the design purpose and any errors arising thereof during construction shall be the responsibility of the Consultant. All the coordinates in the drawings shall be in reference to the coordinate value acquired from Department of Survey.

# 3.2.2 Design of Pavement

- 1. While designing the pavements, the Consultant shall:
  - i. Maximize the use of existing pavement layers (scrapping only in case of strength deficiency)
  - ii. Design of sub-grade, sub-base, base, and DBST/asphalt layers for each 100 m interval or where the sub-surface condition/traffic volume changes
  - iii. Design for 10.2 T axle load/as per Traffic Survey.
  - iv. The design shall consider the AADT data which needs to be collected by the consultant at the particular junctions/road sections, direct traffic counting at critical junctions
  - v. Design of pavement on the bridges and approach roads
- 2. The pavement design task shall also cover working out the maintenance and strengthening requirements and periodicity and timing of such treatments.

# 3.2.3 Design of Drainage Structures

- 1. While designing the drainage structures, the Consultant shall use the data collected during the hydrological survey and determine the following:
  - i. Type of surface and sub-surface drain
  - ii. Type of the cross-drainage structure
  - iii. Structural design of slab and box culverts
  - iv. Size and location of roadside drainage and cross drainage structures (appropriate side drains and cross drainages such as pipe culverts, box culverts, etc.)
  - v. Design of the water conduit/rainwater inlets/manholes to take storm water safely to the nearby natural stream





# 4. Economic Analysis:

- (i) Review existing traffic data, and extract data necessary for economic analysis.
- (ii) Compare the survey results on existing project road sections with equivalent previously improved road sections in good condition (regarding population of road influence area and economic activities) to assess the traffic generation potential and suitably utilize in project road traffic assessment and forecast.
- (iii) Estimate the saving in vehicle operating costs and time using the HDM model or similar approach.
- (iv) Research available studies and develop a methodology to quantify the increased agricultural production that can be attributed to improved rural road access with increased agricultural input usage and incentive provided by increased farm produce marketability.
- (v) Quantify the benefit from increased agricultural productivity for each road and included in the economic analysis.
- (vi) Estimate the increased cost of transportation or loss due to non-motorable roads during the rainy season or other inclement weather for each road, as applicable and included in the economic analysis.
- (vii) Prepare sensitivity and risk analysis about about changes in key parameters, and calculate switching values.
- (viii) Assess and quantify other quantifiable benefits such as benefit from increased access to medical and educational facilities

# 5. Social Impact Studies

Consultant shall capture all possible social issues and impacts that are likely to arise due to project interventions which shall be complied with Environmental and Social Management Framework (ESMF). Consultant shall produce report regarding following activities in close coordination with Environmental and Social Consultant hired by PPMU.

- (i) Consultant shall carryout the social screening in close consultation with various primary stakeholders, beneficiaries, roadside farmers, shopkeepers, indigenous community, women, Dalit and other key informants and will prepare the social screening report as guided by ESMF.
- (ii) Prepare the Community Impact Mitigation Plan (CIMP) to address the severely project affected person/families, marginalized groups (Dalit, janajati, ethnic minorities and poorest people), single women/women headed households, landless, old aged and disabled people identifying the adverse impact and recommending the cost-effective mitigation measures.
- (iii) Prepare a Gender and Social Inclusion Action Plan (GESI-AP) by generating information from the sub-project. The information should include issues and concerns of men and women of the sub-project area and GESI-AP ensures participation of female, poor and marginalized groups in the project; The GESI





AP helps to share the project benefits to weaker section of the society and encourage building the gender sensitive physical facilities in project site.

(iv) Prepare Community Impact Mitigation Plan (CIMP) to address impacts associated with land donation irrespective of the type and scale of impacts that could arise in various forms (a) loss of land (b) loss of house/structures (c) loss of livelihood system/income sources and (d) loss of community property resources and recommend the cost-effective measures.

# 6. Environmental studies

Consultant shall capture all possible environmental issues and impacts that are likely to arise due to project interventions which shall be complied with Environmental and Social Management Framework (ESMF). Consultant shall produce report regarding following activities in close coordination with Environmental and Social Consultant hired by PPMU.

- (i) Record the environmental features and profile within the right of way (ROW) as given in the Environmental and social management Framework (ESMF) checklist for each road during transect walks.
- (ii) Prepare EMP of Road alignment based on the completed checklists
- (iii) Determine the required regulatory clearances (environment/forest/archeological) to be sought from the Government of Nepal
- (iv) The Consultant will review and assess the effect of climate change on the road corridor and propose suitable climate change adaptation measures in Detail Project Report (DPR) in order to improve resilience of rural roads after upgrading/improvement. Main aspects of climate change affects, requiring consideration for road corridors are erosion, slope instabilities, flooding as well as impacts of development pressure (e.g., number and size) of settlements along the road, mining (quarry) activities, influence from connecting roads and junctions, degree of cultivated land on slope or along the road, watershed condition.
- (v) Prepare road specific EMP by entering specific data based on information given in the ESMF checklist for road; ensure that the road-specific EMP is included in the detailed design and cost estimate of the project.
- (vi) Ensure that the Bill of Quantities include required environmental responsibilities in accordance with ESMF.
- (vii) Prepare reports for environment aspects.

# C. Specifications

the specifications for the various items of works should be as per the "DOR Specifications for Roads and Bridges" applicable in Nepal.







# D. Output and Reporting requirements

The Consultant will carry out activities according to the following work plan, and submit reports about the activities and outputs. Also, the Consultant should submit a monthly progress report.

The consultant will report directly to the DSMC and will closely liaise with the PIU'S and other concerned provincial authorities and other stakeholders during field works.

- 1. Inception Report. An Inception Report will be submitted within four weeks after commencement of services. It will contain a detailed program implementation plan for the different project activities. The report will flag any issues which the Consultant team has identified or anticipated which require an early intervention by DSMC. The report will also include a description and example of the standardized forms, charts and tables that will constitute the trimester and final progress reports.
- 2. Field Study Reports: The consultant shall prepare reports in English and provide two copies plus one electronic copy, each in a format and manner acceptable to DSMC. Reports will include field data for each road and to be submitted to PMU. If the field data are insufficient/inaccurate then the consultants again conduct field survey and the reports shall be submitted accordingly.
- 3. Detailed Project Reports: The consultant shall prepare reports in English and provide five copies plus one electronic copy, each in a format and manner acceptable to DSMC. Reports will be prepared initially in draft for each road and finalized within two weeks following receipt of DSMC comments. The detailed project report of each road includes i) Main Report with economic analysis and material survey report for road, culverts, causeways, bridges upto 10 m span etc), ii) Cost Estimate for road, culverts, causeways, bridges upto 10 m span etc iii) Design and Drawings of road, culverts, causeways, bridges upto 10 m. etc iv) Packaging of works with priced and unpriced BoQ v) EMP Report vi) VLD Report vii) GESI Report. viii) kml file of the centerline of the road alignment with the appropriate coordinate system showing exact position while overlaying on Google Earth Map.
- E. Team Composition of DPR Consultant, Task and Responsibilities and Qualification Requirement.
- a) Team Composition

The consultant shall be required to form a team for this assignment. The consultant's team shall be manned with an adequate number of experts having relevant experience in similar assignments. A list of Key Experts to be fielded by the consultant is given below.





**Indicative Key Experts (National)** 

S.No	Expertise	No	of experts/Per	son-Month required
		Nos.	Month	Estimated Person Month
1.	Team Leader	1		
	(Highway/Transport Engineer)			
2.	Environmentalist/Forestry	1		
	Sepcialist/Ecologist			
3.	Geologist/Eng. Geologist/Geo-	1		
	Technical Engineer			
4.	Structural Engineer			
5.	Hydrologist	1		
6.	Surveyor	2		
	<b>Total Key experts</b>			

Table 3

Professional/Supporting Staff	Unit	
Key Staff		
Team Leader (Highway/Transporation		
Engineer)	1.00	
Environmentalist/Forestry		
Specialist/Ecologist	1.00	
Geologist/Eng. Geologist/Geo-Technical		
Engineer	1.00	
Structural Engineer	1.00	
Hydorlogist	1.00	
Socio-Economist	1.00	
Legal Expert		
Sub Total		
Support Staff		
Draft Person/CAD Operator	1.00	
Computer Operator		
Surveyor/Sub Engineer		
Account/Admin Staff/Supervisor	1.00	
Support Staff/Helper/Camp Worker		
Sub Total		
Transportation of Personal		
Tools and Equipment for Survey		
Stationary and Logistic Support		







# b) Position- based Task and Responsibilities

The position-based tasks specified for each of the positions mentioned in the Table-2 above are indicated in Table 4 below. The consultants should note that the positionbased tasks and responsibilities in Table 3 are only indicative and that the consultant needs to propose its working arrangements as a team-based on the overall requirements in terms of reference (ToRs).

**Table 3: The Position-based Tasks/Responsibility (Indicative only)** 

SI. No	Position	Tasks/Responsibilities
1.	Team Leader/Highway Engineer	<ul> <li>Overall management of the DPR Consultant team</li> <li>Lead the teams carrying out Walkover Survey, baseline survey including video graphing roads and bridges as specified in the TOR.</li> <li>Coordinate DSMC.</li> <li>Organize community consultation together with DSMC and ward representative of Municipality and hold consultation meetings with the local community to sort out issues of land availability for widening etc.</li> <li>Organize the Transect Survey along with the DSMCs staffs, environmental specialist, social specialist, social Mobilizer and other relevant staff accompanied by the project beneficiaries and affected people on the road</li> <li>Co-ordinate social and environmental studies with relevant experts.</li> <li>Organize Conducting existing pavement condition survey and preparation of road inventory database and digital mapping of the project roads</li> <li>Organize and supervise carrying out baseline conditions survey of selected roads geometric features, type and condition of drainage structures, pavement strength, and other major features; determine residual life, and prepare schedules of road structures.</li> <li>Assist Conducting traffic studiesand analysis data for future forecasting.</li> <li>Organize and assist Identifying source, location, availability and suitability of construction materials.</li> <li>Assist to Conduct a detailed soil and material</li> </ul>
		survey for pavement design and prepare quarry





SI. No	Position	Tasks/Responsibilities
		<ul> <li>charts and reports.</li> <li>Lead to Conduct topographic survey of all project roads for collecting all information for detailed designing the works</li> <li>Lead to conduct soil and pavement investigations required for detailed engineering designs.</li> <li>Carry out detailed engineering design for project roads</li> <li>Lead to prepare detailed engineering designs for the road, bridge, pavement, and structures, and bills of quantities, and calculate detailed costs estimates for civil works.</li> <li>Propose contract packaging</li> <li>Lead to conduct road safety audits</li> <li>Prepare a report summarizing the findings and recommendations,</li> <li>Undertake additional tasks as required by the DSMC.</li> </ul>
2.	Environmentalist/Forestry Sepcialist/Ecologist	<ul> <li>Assist and guide field teams related to the preparation of feasibility studies, Transect Walk Survey, detailed engineering surveys, and design and Environmental aspects for sub project roads.</li> <li>Conduct environmental analysis works of road with due coordination with other experts of the team</li> <li>Assist Team Leader to finalize and submit the Main Report, Design Report, Drawings and Cost Estimate for sub projects roads.</li> <li>Undertake additional tasks as required by DSMC.</li> </ul>
3.	Hydrologist	<ul> <li>Collect rainfall data in the relevant project area</li> <li>Collect flood records in bridge sites</li> <li>Carry out the hydrological survey of roads and bridge sites</li> <li>Carry out hydraulics analysis based on rainfall and flood records supplemented by field investigations for sub-projects.</li> </ul>
4.	Structural Engineer	<ul> <li>Conduct design works of RCC and masonry structures with due coordination with other experts of the team</li> <li>Assist Team Leader to finalize and submit the</li> </ul>





SI. No	Position	Tasks/Responsibilities
		<ul> <li>Main Report, Design Report, Drawings and Cost Estimate for sub projects roads.</li> <li>Undertake additional tasks as required by DSMC.</li> </ul>
5.	Geotechnical Engineer	<ul> <li>Conduct soil and pavement investigations required for detailed engineering designs.</li> <li>Prepare Technical Reports on sub-soil investigation.</li> <li>Carry out Geotechnical design, where necessary</li> <li>Coordinate with material Engineer.</li> </ul>
6.	Surveyor	<ul> <li>Carry out a topographical survey of roads</li> <li>establish control points and BMs/TBMs for survey works</li> <li>Assist in preparing survey drawings</li> </ul>

# c) Qualifications of Key Personnel

# 1. Team Leader (TL)/Highway Engineer:

1.	Educational Qualification	Preferably Master's Degree in Highway/Transportation Engineering or related field from a recognized University/Institution
2.	Experience	
	a. Total professional Experience	Minimum 5 (Five) years' experience will be preferable
	b. Experience in Highway/Roads Projects	Preferably 5 years' experience in planning, project preparation design of Road projects and have experience as a Team Leader
	c. Experience in similar capacity	Road planning and design preferably for externally aided projects such as DoR/WB/ADB etc.
3	Language	Communicate fluently in English Language

# 2. Environmentalist/Forestry Specialist/Ecologist:

1	Education Qualification	Preferably M.Sc. in Environmental Engineering/Forestry or other
		related field
2	Essential Experience	







	a) Total professional Experience	Minimum 5 (Five) years will be preferable
	b) Experience in the Similar type of work in infrastructure projects	5 (five) years on similar projects on Environmental aspects and evaluation will be preferable.
	c) Experience in Similar capacity	As a Environmental Analysist on road projects preferably externally aided projects such as DoR, WB, ADB, , etc.
3	Language	Communicate fluently in English Language

# 3. Hydrologist:

1.	Education Qualification	Master's Degree in Hydrology or related field
		from a recognized University/Institution
2.	Essential Experience	
	a) Total professional Experience	Minimum 5 (five) years' experience
	b) Experience in the Similar type of work in infrastructure projects	5 (Five) years on similar projects on Hydraulic studies and hydrology analysis.
	c) Experience in Similar capacity	Experience as a Hydraulics Engineer on road projects for externally aided projects such as DoR, WB, ADB, etc.
3	Language	Communicate fluently in English Language

# 4. Structural Engineer:

1	Education Qualification	Preferably Master's Degree in Structural Engineering or other related field from a recognized University/Institution
2	Experience	
	a) Total professional Experience	5 (Five) years' experience will be preferable.
	b) Experience in Road/ Highway projects	5(Five) years of experience in Strutural design/construction will be preferable.
	c) Experience in Similar capacity	Experience as a Road design engineer preferably for externally aided projects such as DoR, WB, ADB etc.
3	Language	Communicate fluently in English Language





# 5 Geotechnical Engineer:

1	Education Qualification	Master's Degree in Geo-Tech Engineering or related field from a recognized University/Institution
2	Essential Experience	
	a) Total professional Experience	5 (five) years
	b) Experience in the Similar type of work in infrastructure projects	5 (Five) years on similar projects on Geotechnical investigations and designs.
	c) Experience in Similar capacity	Experience as a Geotechnical Engineer on road projects for externally aided projects such as WB, ADB, etc.
3	Language	Communicate fluently in English Language

5. Surveyor:

	1	Qualification	Diploma in Civil Engineering or other related field
	2	Total Professional Experience	3(three) years' experience
	3	Project-related Experience	Two years in surveying of roads projects





# **Appendix-1(Road List for Package No-1)**



# Dhangadhi Sub Metropolitan City

Office of The municipal Executive Dhangadhi, Kailali Sudur Paschim Province, Nepal



List of Road FY: 2081/082

Name of Work:- Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Various Roads of Dhangadhi Sub Metropolitan City

	ation: Various Wards of DSMC	Total Road Length 26.3 Km		
SN	Description Of Work	Approx. Length	Units	Remarks
1	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-1, Main Road District Court to Basant Tole Road (0+000 to 0+700). (MTMP Road Code - D050)	0.70	Km.	
2	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-1 & 2, Vetenary Hospital to South Sathi Hotel to Gulma Church Road (0+000 to 0+600). (MTMP Road Code - O005)	0.600	Km.	
3	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-1, Ramanuj Chowk to East Saraswati Primary School to South Hulaki Road (0+000 to 0+700). (MTMP Road Code - 0021)	0.700	Km.	
4	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-3, Rajpur Hikmat Chowk to Bhageshwor Tole Road (0+000 to 1+350). (MTMP Road Code - C010 & D010)	1.35	Km.	
5	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-3,Milan Chowk Narayan Pandey House to Ring Road Bhansar Road (0+000 to 1+000). (MTMP Road Code - O152 & O1337)	1.10	Km.	
6	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-4, Bhagwati Tole Bhagwati Temple to Bhatbhateni Chowk Road (0+000 to 0+500). (MTMP Road Code - 00293)	0.50	Km.	





7	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-4 &13, Tulsi Chowk to Kailali Gaun Himali Tole Road (0+000 to 0+850). (MTMP Road Code - 00320)	0.850	Km.
8	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-5, Balbihani Ashutosh Temple to North East Khutiya Road (0+000 to 0+750). (MTMP Road Code - O457)	0.750	Km.
9	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-6, Bashudevi Secondary School to Siddhiganesh Tole to Gauri Shankar Temple Road (0+000 to 1+300). (MTMP Road Code - C081)	1.30	Km.
10	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-6, Jagriti Tole Khutiya Road to Matiyari Lalit Shahi House to Ahok Pant House to Bela Road, (0+000 to 2+000). (MTMP Road Code - D035 & O0574)	2.00	Km.
11	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-7, Hongkong Road - Manehara to Himmatpur Road (0+000 to 1+200). (MTMP Road Code - C083)	1.200	Km.
12	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-7, Devaria Patela Road (0+000 to 2+500). (MTMP Road Code - C041)	2.50	Km.
13	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-8, Bhadrapur Tole to Nala Bridge to Taranath Chaudhary House Road (0+000 to 1+000). (MTMP Road Code - D051)	1.00	Km.
14	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-8, Baburam Rana House to Hulaki Road (0+000 to 0+800). (MTMP Road Code - 0752)	0.80	Km.
15	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-9, Ward Office to Bhagwati Tole to Laliguras Tole Taratal Road (0+000 to 2+850). (MTMP Road Code - C043)	2.850	Km.







Total		26.30	Km.
19	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-14 & 18, Ringroad Shiva Shankar Primary School to Hamro Fulbari Gaushala to Ring Road (0+000 to 4+500). (MTMP Road Code - C066 & D085)	4.50	Km.
18	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-10, BOP to Chakmeli Chowk to Border Ring Road (0+000 to 0+400). (MTMP Road Code - C044)	0.40	Km.
17	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-10 & 9, Ghuiyaghat Cheda Rana House to Jay Bist House to Chandra Tal Road (0+000 to 1+200). (MTMP Road Code - O803)	1.20	Km.
16	Detailed Engineering Survey, Detailed Design and Drawing, Report Preparation (DPR) of Dhangadhi-9, Laliguras tole Pipal Chautara to Taratal Road (0+000 to 2+000). (MTMP Road Code - D062)	2.00	Km.